

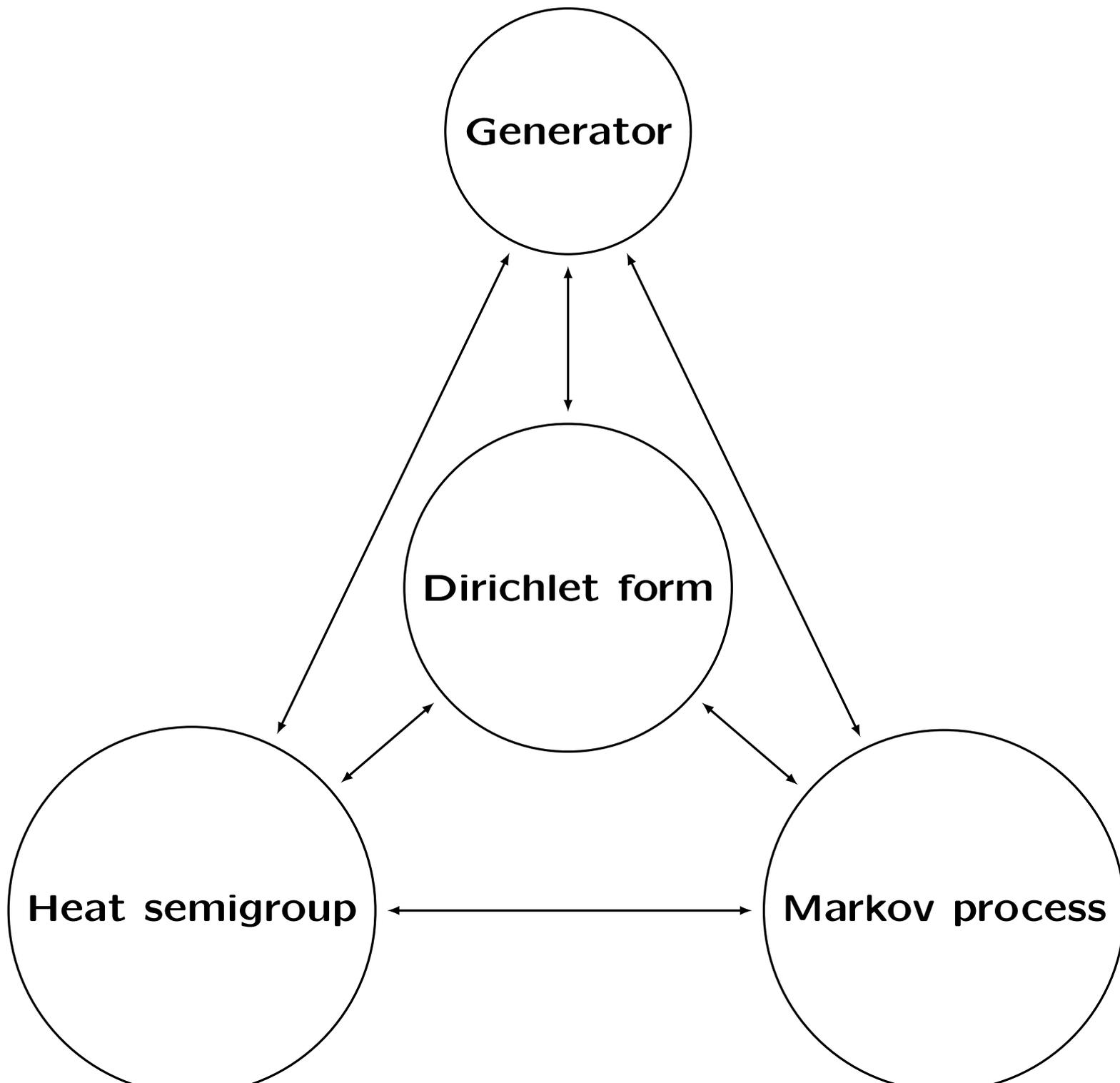
**Boundaries, Green's formulae  
and harmonic functions  
for graphs and Dirichlet spaces**

Matthias Keller (Potsdam) and Daniel Lenz (Jena)  
with Marcel Schmidt (Jena)

To set the stage consider following four related objects in  $\mathbb{R}^n$ :

- Quadratic form:  $Q(f) = \int_{\mathbb{R}^n} |\nabla f|^2 dx$
- Laplace operator:  $L = - \sum_{i=1}^n \partial_i^2$  s.t.  $\langle Lf, f \rangle = Q(f, f)$
- Heat semigroup:  $e^{-tL} f(x) = \int_{\mathbb{R}^d} f(y) \frac{1}{(4\pi t)^{n/2}} e^{-\frac{|x-y|^2}{4t}} dy$
- Brownian motion:  $(X_t, \mathcal{F}_t, \Omega, \mathbb{E})$  with  $\mathbb{E}_x(f(X_t)) = e^{-tL} f(x)$ .

This is just a special case of...



**Definition.** A *Dirichlet space* is a locally compact separable metric measure space  $(X, m)$  together with a closed quadratic form  $(Q, D)$  on  $L^2(X, m)$  that satisfies the Markov property

$$Q(\min\{1, f\}) \leq Q(f), \quad f \in L^2(X, m).$$

Condition may seem inconspicuous...

But this setting

- **covers manifolds and** metric measure spaces as well as discrete **graphs** and quantum graphs and fractals,
- **connects analysis and stochastic** by giving analytic description of symmetric Markov processes,

Each Dirichlet space comes with

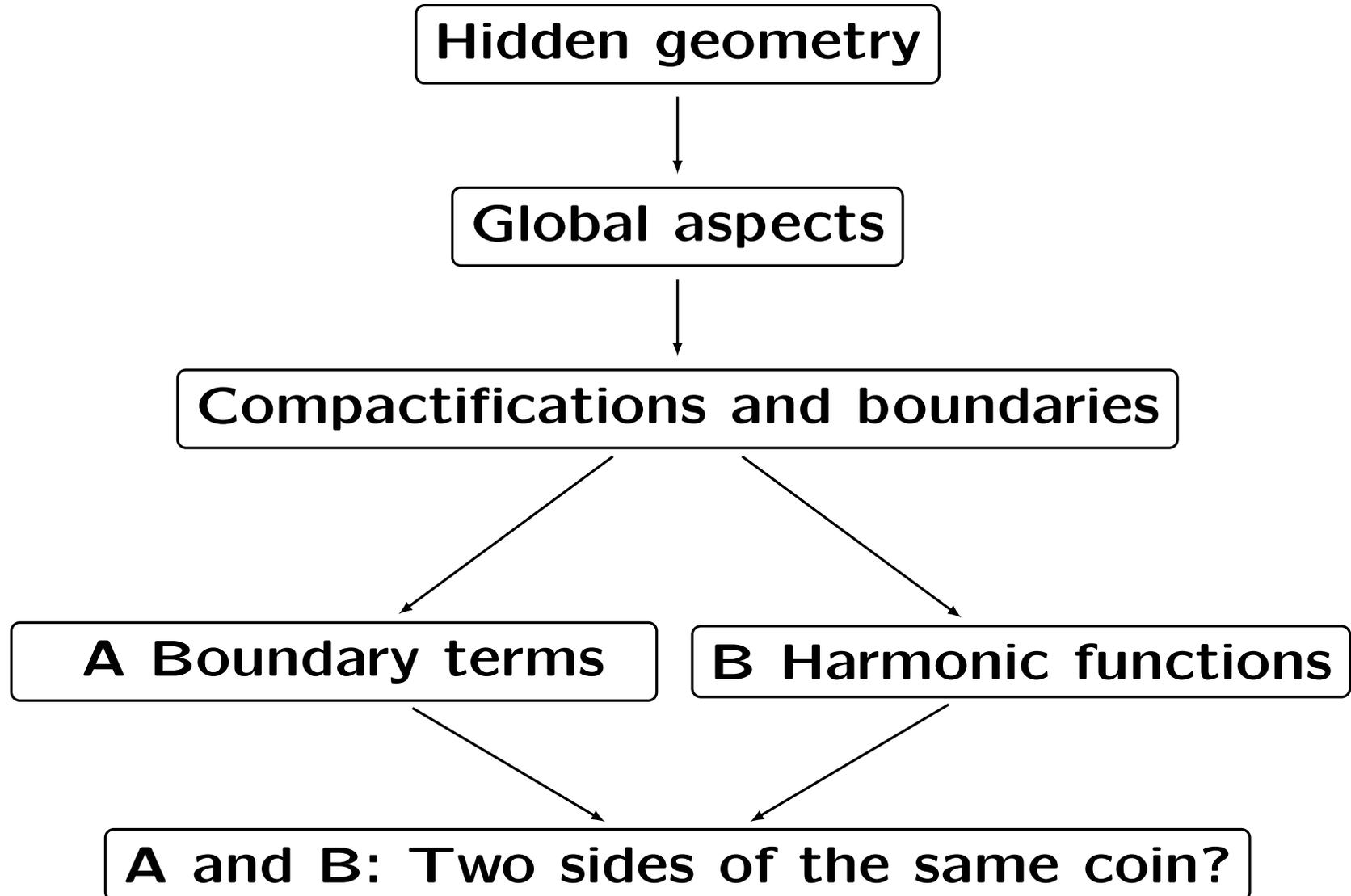
- selfadjoint operator, called the generator (Laplacian),
- Markov process (Brownian motion),
- semigroup (heat semigroup).

Spectral theory of the generator and behavior of the heat semigroup **reflect various aspects of the geometry** as explored by the Markov process.

Proposal deals with **global aspects of geometry** in the context of Dirichlet spaces.

Main focus is to capture these aspects via certain **compactifications** and the associated **boundaries**.

There are two (related) directions: **boundary terms** and **harmonic functions**.



**Something concrete:**

**The Royden boundary and canonically compactifiable graphs.**

Consider a *graph* i.e.  $b : X \times X \rightarrow [0, \infty)$  symmetric with

$$\sum_{y \in X} b(x, y) < \infty, \quad x \in X.$$

Associated *quadratic form*  $\mathcal{Q} : C(X) \rightarrow [0, \infty]$

$$\mathcal{Q}(f) = \frac{1}{2} \sum_{x, y \in X} b(x, y) (f(x) - f(y))^2.$$

Associated *functions of finite energy*

$$\mathcal{D} = \{f \in C(X) \mid \mathcal{Q}(f) < \infty\}.$$

Note: Since  $|\min\{1, a\} - \min\{1, b\}| \leq |a - b|$  we have indeed

$$\mathcal{Q}(\min\{1, f\}) \leq \mathcal{Q}(f).$$

# The Royden compactification

The space

$$\mathcal{D} \cap \ell^\infty$$

is an involutive unital algebra.

Gelfand theory for  $C^*$ -algebras gives

$$\mathcal{A} := \overline{\mathcal{D} \cap \ell^\infty}^{\|\cdot\|_\infty} \cong C(K)$$

with  $K$  compact Hausdorff space of multiplicative functionals on  $\mathcal{A}$ :

- $X \hookrightarrow K$  dense via  $x \mapsto \delta_x$ , i.e.,  $\delta_x(f) = f(x)$ ,  $f \in \mathcal{D} \cap \ell^\infty$ .
- Every  $f \in \mathcal{D} \cap \ell^\infty$  has continuous extension to  $K$ .
- $\mathcal{D}$  separates the points of  $K$ .

*Royden boundary*

$$\partial X = K \setminus X.$$

Special case: A graph is called *canonically compactifiable* if

$$\mathcal{D} \subseteq \ell^\infty.$$

This is nice because:

- $\partial X$  is a metric boundary.
- Dirichlet problem uniquely solvable.
- All Laplacian to  $\mathcal{Q}$  on  $\mathcal{D} \cap \ell^2$  have purely discrete spectrum.
- One can characterize all Dirichlet forms by boundary forms.
- ...

$\rightsquigarrow$  discrete analogues to precompact manifolds with nice boundary.

## **A bouquet of questions within the project:**

- When is  $\partial X$  is a metric boundary?
- Boundary Harnack inequalities.
- Selfadjoint extensions and boundary conditions.
- Green's formulae and boundary terms.
- Liouville theorems.
- Harmonic functions of polynomial growth.
- Nodal domains.

# Minimal surfaces in metric spaces

Alexander Lytchak and Stephan Stadler

November 9, 2017

# Overview

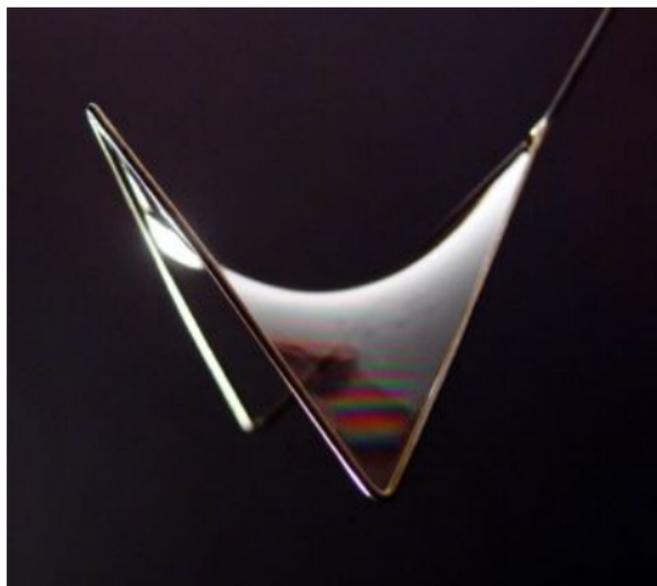
- 1 Solution of Plateau's problem
- 2 Applications: found and to be found

## How it all started

- Around 1850 the Belgian physicist Joseph Plateau observed that even very complicated bent wires span stable soap films.

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### Theorem (Douglas-Rado 1930)

*Let  $\Gamma$  be a smooth, simple closed curve in  $\mathbb{R}^n$ . Then  $\Gamma$  can be spanned by a smooth disc  $u : \bar{D} \rightarrow \mathbb{R}^n$  of minimal area. Moreover,  $u$  can be chosen (weakly) conformal.*

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- Extensions to Riemannian manifolds, some 3-D Finsler spaces, some Alexandrov spaces (Morrey, v.d. Mosel-Overath, Mese).

## Theorem (L.-Wenger'15)

*Let  $X$  be a proper metric space and  $\Gamma \subset X$  such that  $\Lambda(\Gamma) \neq \emptyset$ . Then there exists an area minimizer in  $\Lambda(\Gamma)$ . Moreover it comes with a canonical parametrization.*

# Quadratic isoperimetric inequalities

## Definition

A metric space  $X$  admits a *quadratic isoperimetric inequality* with constant  $C$ , if every closed Lipschitz curve  $c$  in  $X$  of length  $l$  bounds a disc of area at most  $C \cdot l^2$ .

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## Theorem (L.-Wenger'15,17)

Let  $X, \Gamma$  be as above. If  $X$  satisfies a quadratic isoperimetric inequality, then any minimal disc is a geometric object with controllable intrinsic geometry.

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- Use this tool!

# Applications to non-positively curved spaces

## Theorem (Isoperimetric characterization, L.-Wenger'16)

*Let  $X$  be a proper geodesic space. Then  $X$  is CAT(0) if and only if  $X$  admits a quadratic isoperimetric inequality with constant  $\frac{1}{4\pi}$ .*

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## Theorem (Fary-Milnor, S.'17)

*Let  $X$  be a  $CAT(0)$  space. Let  $\Gamma$  be a Jordan curve in  $X$ . If the total curvature of  $\Gamma$  is less than  $4\pi$ , then  $\Gamma$  bounds an embedded disc.*

# Applications to non-positively curved spaces

## Theorem (Asymptotic Plateau problem, S.'17)

*Let  $X$  be a CAT(0) space of rank 2. Let  $\Gamma$  be a rectifiable Jordan curve in the Tits boundary  $\partial_T X$ . Then there exists a Lipschitz map  $u : \mathbb{R}^2 \rightarrow X$  which locally minimizes the area and whose image  $Q$  satisfies  $\partial_T Q = \Gamma$ .*

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## Theorem (Conformal change, L.-S.'17)

*Let  $X$  be a CAT(0) space and  $f$  be a function on  $X$ , continuous, convex and bounded from below. Then the conformally equivalent space  $e^f \cdot X$  is CAT(0).*

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- Canonical parametrizations of metric surfaces.
- Rigidity of certain infinite groups of rank 2.
- Regularity of minimal surfaces in Finsler manifolds.
- Fine regularity of harmonic and minimal surfaces in  $CAT(0)$  spaces.

Thank you.

# TOPOLOGICAL AND EQUIVARIANT RIGIDITY IN THE PRESENCE OF LOWER CURVATURE BOUNDS

SPP2026: Geometry at Infinity

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Fernando Galaz-García (KIT) | Martin Kerin (WWU Münster)

November 9, 2017

## Grove Symmetry Programme

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- Fang–Rong, Wilking,....  
 $n \geq 8$ ,  $k$  sufficiently large  $\implies$  strong restrictions on  $M^n$ .

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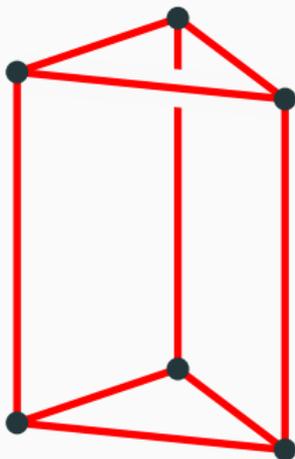
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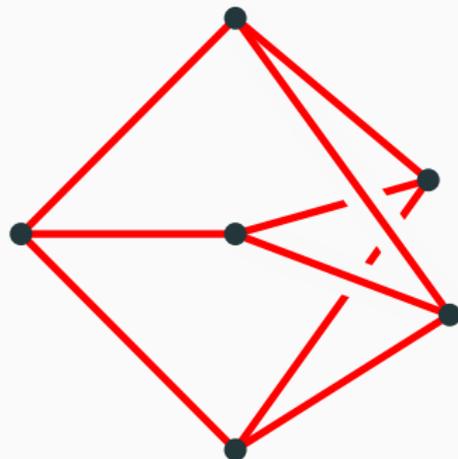
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- Try equivariant cohomological methods.

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Graph for  $\mathbb{C}P^3 \# \mathbb{C}P^3$



Graph for known examples  
with  $\text{sec} > 0$  and  $\chi = 6$

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- Would need to classify **all** Alexandrov spaces with  $\text{curv} > 0$ :  
 $Y$  Alexandrov space with  $\text{curv} > 0 \implies X = Y * \mathbb{S}^1$  fixed-point homogeneous Alexandrov space with  $\text{curv} > 0$ .

# Spaces and moduli spaces of Riemannian metrics with curvature bounds on compact and noncompact manifolds

A. Dessai (Fribourg), B. Hanke (Augsburg), W. Tuschmann (KIT)

Further researchers: M. Amann (Augsburg), M. Bustamante (Augsburg), D. González-Álvaro (Fribourg), J. Wermerlinger (Fribourg)

SPP 2026: Kick off meeting, Potsdam  
November 9-10, 2017

Let  $P$  stand for some geometrically defined property of a Riemannian manifold  $M$  (e.g.  $P = \text{scal} > 0, \text{sec} \leq 0$ ). Consider the space  $\mathcal{R}^P(M)$  of all Riemannian metrics on  $M$  which have property  $P$ .

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Any subgroup  $G$  of the group  $\text{Diff}(M)$  of diffeomorphisms of  $M$  acts on  $\mathcal{R}^P(M)$ . The moduli space of Riemannian metrics with property  $P$  with respect to the group  $G$  is

$$\mathcal{M}_G^P(M) = \mathcal{R}^P(M)/G$$

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*distinguished classes of smooth  $M$ -bundles with structure group  $G$  and with a fiberwise geometric structure  $P$*

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For example:

- The non-multiplicativity (for fiber bundles) of the  $\hat{A}$ -genus yields the detection of infinite order elements in  $\pi_* \mathcal{R}^{scal>0}(M)$  which survive in homology under Hurewicz map [Hanke-Schick-Steimle].

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- There is a non-trivial map from  $\pi_* \mathcal{R}^{scal>0}(M)$  to topological  $K$ -theory [Bottvinnik-Ebert-Randal Williams].

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- A more challenging goal is to construct bundles with non-simply connected and psc fiber whose total space has vanishing  $\widehat{A}$ -genus but some non-trivial higher  $\widehat{A}$ -genera.

The main goal is understanding  $\mathcal{R}^{scal>0}(M)$  when  $M$  is a noncompact manifold. Some specific goals are:

- To find non-trivial higher homotopy groups of  $\mathcal{R}^{scal>0}(M)$  when  $M$  is the universal cover of a closed spin manifold with infinite fundamental group. The approach is extending both the index theoretic tools and Gromov-Lawson surgery technique to families of metrics arising in this context. It seems possible to extend work of Roe and A. Engel on index theorems for (amenable) manifolds to families of noncompact manifolds.
- A more challenging goal is to construct bundles with non-simply connected and psc fiber whose total space has vanishing  $\widehat{A}$ -genus but some non-trivial *higher*  $\widehat{A}$ -genera. Succeeding here would lead to finding nontrivial families of psc metrics on closed manifolds with non-trivial fundamental group (and their universal covers).

# Moduli spaces for nonnegative sectional curvature

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To distinguish components of the moduli space index-theoretical invariants due to Gromov-Lawson and Kreck-Stolz have been used, which require, in particular, that the manifolds in question are of dimension  $4k - 1 \geq 7$ .

# Moduli space for manifolds of dimension $\neq 4k - 1$

Main goal: Exhibit examples of manifolds in dimension  $\neq 4k - 1$  for which the moduli space of metrics of nonnegative (or positive) sectional curvature has many connected components.

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In dimensions  $\neq 4k - 1$  reduced  $\eta$ -invariants of Dirac operators with coefficients in flat bundles can be used for non-simply connected manifolds.

$\eta$ -invariants measure the spectral asymmetry and occur naturally in the Atiyah-Patodi-Singer index theorem for manifolds with boundary.

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This is work in progress ...

# Fiber bundles with geometric structure and spaces of Riemannian metrics

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Some times this question can be tackled by understanding the orbit map of the action of  $G \subset \text{Diff}(M)$  on  $\mathcal{R}^P(M)$  and its orbit space  $\mathcal{M}_G^P(M)$  and yields non-trivial classes in the higher homotopy groups of spaces of metrics or their moduli.

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- There exists  $\varepsilon \in \{0, 1\}$  such that  $\pi_i \mathcal{R}^{sec \geq 0}(TS^{k+\varepsilon} \times S^n) \otimes \mathbb{Q} \neq 0$  is nontrivial for some  $i \ll n + k$  [Belegradek-Farrell-Kapovitch].

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# Diffeomorphisms and the topology of positive scalar curvature

Johannes Ebert, Thomas Schick, Wolfgang Steimle,  
Thorsten Hertl, (cooperation: Diarmuid Crowley, Simone Cecchini,  
Vito Zenobi)

Universität Münster, Universität Göttingen, Universität Augsburg  
Universität Göttingen, University of Melbourne

Potsdam SPP meeting Nov 2017

# Diffeomorphisms and Positive Scalar curvature

Let  $M$  be a compact smooth manifold without boundary. We study the space of metrics of positive scalar curvature

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The diffeomorphism group  $\text{Diff}(M)$  acts on  $\mathcal{R}^+(M)$  by pullback. We are in particular studying the interplay between the homotopy theory of  $\text{Diff}(M)$  and of  $\mathcal{R}^+(M)$ . More specifically, we will analyze the induced map

$$\pi_k(\text{Diff}(M)) \rightarrow \pi_k(\mathcal{R}^+(M)).$$

# Positive scalar curvature specific tools

- Higher index theory of the Dirac operator, provided  $M$  has a spin structure,
- minimal hypersurfaces,
- specific to this project: constructions from homotopy theory and geometric topology.

# Stabilization and Concordance for $\text{Diff}(M)$

Important tools in the study of  $\pi_k(\text{Diff}(M))$  are:

- stabilization: cartesian product with the identity on  $[0, 1]$ :  
 $\pi_k(\text{Diff}(M)) \rightarrow \pi_k(\text{Diff}(M \times [0, 1]))$ ,
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- stability results (in a range of  $k$  the stabilization map induces an isomorphism),
- concordance: “loosen the relation from *homotopy* to *concordance*:
  - compare  $\pi_1(\text{Diff}(M))$  with  $(\pi_0(\text{Diff}(M \times [0, 1])) \text{ rel boundary})$ .
  - Systematically done by the introduction of concordance spaces.
  - This involves simplicial methods, which are employed systematically.

# Stability and concordance for $\mathcal{R}^+(M)$

The PhD project of Thorsten Hertl is concerned with

- constructing a concordance space  $CS(M)$  and a (realization of a) concordance category  $BCC(M)$  of metrics of positive scalar curvature on  $M$ ,
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- establish how close the comparison maps are to being homotopy equivalences. The spaces might be analyzed with the help of *cobordism categories*,
- getting examples where there are differences. To achieve this, we plan to exploit the minimal hypersurface method of Schoen and Yau.

# More about diffeos and psc

- Factorize the action of  $\text{Diff}(M)$  on  $\mathcal{R}^+(M)$  through suitable cobordism categories. Determine the kernel, which acts rigidly.
- Investigate the rich additional index theoretic tools which appear if  $\pi_1(M)$  is non-trivial: conjecturally  $\pi_*(\mathcal{R}^+(M))$  is then at least as large as  $KO_*(C_{red}^*\pi_1(M))$ , but much of this remains very mysterious.

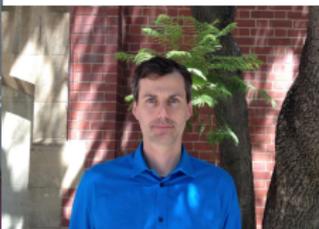
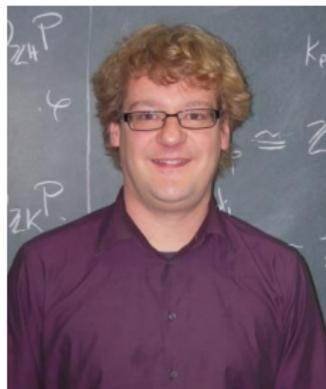
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- Effects of stabilization for  $\mathcal{R}^+(M)$ .
- Explicit geometric constructions of elements of  $\pi_k(\text{Diff}(M))$  and the resulting elements in  $\pi_k(\mathcal{R}^+(M))$  (instead of existence results via homotopy theory).
- Study of complete metrics of psc on non-compact  $M$ : what is the effect of the many local constructions we know in this context?

## Further members of the team

Because of childcare, distance, and a previous commitment to another conference some members of our team can't be present:



Johannes Ebert, Diarmuid Crowley, Wolfgang Steimle, Vito Zenobi

# Gerbes in Renormalization and Quantization of Infinite-Dimensional Moduli Spaces

M. UPMEIER

*Mathematical Institute  
University of Oxford*

November 2017

# What are gerbes?

## Basics

### Definition

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- ▶ Complex line bundles represent classes in  $H^2(X; \mathbb{Z})$  via  $c_1$ .
- ▶ Which cohomology? Let  $A_X$  be a sheaf on  $X$ , such as

$$\mathcal{O}_X, C_X^\infty, \underline{\mathbb{Z}}_X, \mathbb{Z}(p)_X$$

and take sheaf cohomology  $H^*(A_X)$ .

# What are gerbes?

Concrete models for  $H^3(X; \mathbb{Z})$

- ▶ (Hitchin–Chatterjee) Pick open cover  $\{U_i\}$  of  $X$  and make explicit the cocycle in sheaf cohomology:
  1. Line bundle  $L_{ij}$  on  $U_i \cap U_j$ .
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- ▶ (Murray) A bundle gerbe consists of a submersion

$$(\sqcup U_i \rightrightarrows) Y \xrightarrow{\pi} X,$$

a line bundle  $L$  on  $Y \times_X Y = (\sqcup U_i \cap U_j)$ , and

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- ▶ (Brylinski) Bundles of groupoids on  $X$ .
- ▶ (Dixmier) Bundles of projective Hilbert spaces on  $X$ :  
 $BPU(\mathcal{H}) \sim K(3, \mathbb{Z})$  for Hilbert space  $\mathcal{H} \cong \ell^2$ .

# Moduli spaces

## The role of gerbes

- ▶ Via transgression, gerbes can be used to understand line bundles  $L$  on moduli spaces  $\mathcal{M}$ .

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## Example

$\Sigma$ =Riemann surface,  $X$ =complex manifold.  $\mathcal{M}$ =moduli space of  $J$ -holomorphic curves.

$$\begin{array}{ccc} \Sigma \times \mathcal{O}(\Sigma, X) & \xrightarrow{\text{eval}} & X \\ \text{pr}_2 \downarrow & & \\ \mathcal{M} = \mathcal{O}(\Sigma, X) & & \end{array}$$

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- ▶ Further structure on  $L$  (metric, connection, triviality ...) can then be studied through the gerbe.

## Example

$\Sigma$ =Riemann surface,  $X$ =complex manifold.  $\mathcal{M}$ =moduli space of  $J$ -holomorphic curves.

$$\begin{array}{ccc} \Sigma \times \mathcal{O}(\Sigma, X) & \xrightarrow{\text{eval}} & X \\ \text{pr}_2 \downarrow & & \\ \mathcal{M} = \mathcal{O}(\Sigma, X) & & \end{array}$$

Take  $c \in H^4(X; \mathbb{Z})$ , pull back, and fiber integrate along  $\Sigma$ .  
Get class in  $H^2(\mathcal{O}(\Sigma, X); \mathbb{Z})$ , the first Chern class of  $L$ .

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- ▶ There is a gerbe on  $X$  that represents  $L_{\bar{\partial}}$ . It can be constructed from the eigenvalues and eigenspaces of chart transition functions for  $X$ .
- ▶ If  $b_3(X) = b_4(X) = 0$ ,  $\dim X = 6$ , then  $L_{\bar{\partial}}$  is trivial.

## Some interesting problems

- ▶ Yang–Mills theory: show that the determinant line bundle has a flat connection (open in general. Over the regular stratum: Hitchin).

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- ▶ Yang–Mills theory: show that the determinant line bundle has a flat connection (open in general. Over the regular stratum: Hitchin).
- ▶ Orientations on the moduli space of  $G_2$ -instantons (joint with D. Joyce) or the moduli space of associative 3-folds.

# Analytic $L^2$ -invariants of non-positively curved spaces

Holger Kammeyer, Steffen Kionke, Roman Sauer, Thomas Schick

KIT, Karlsruhe  
University of Göttingen

November 09, 2017

# Analytic $L^2$ -invariants

Let  $M$  be a finite volume Riemannian manifold with universal covering  $\tilde{M}$ . We consider the Laplacian  $\Delta_p$  acting on square-integrable  $p$ -forms on  $\tilde{M}$ :

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- The  **$L^2$ -torsion**  $\rho_{an}^{(2)}(\tilde{M})$  is the  $L^2$ -counterpart to analytic Ray–Singer torsion of  $M$ .

# Locally symmetric spaces

Let  $G$  be a semisimple Lie group with symmetric space  $X = G/K$ .  
For a torsion-free, *non-uniform* lattice  $\Gamma \leq G$  we consider  $M = \Gamma \backslash X$ .

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**Conjecture:** analytic  $L^2$ -invariant = top.  $L^2$ -invariant of compactification

- Show  $\alpha_p(\tilde{M}) < \infty$  for some  $p$  whenever  $b_q^{(2)}(\tilde{M}) = 0$  for all  $q$ .

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- Compute  $\rho^{(2)}(\tilde{M})$  if  $M$  is odd-dimensional.

**ME proportionality of  $L^2$ -torsion** as conjectured by Lück, Sauer and Wegner predicts non-zero values for  $G = \mathrm{SL}_3(\mathbb{R})$  and  $\mathrm{SL}_4(\mathbb{R})$ .

# Finite volume Kähler hyperbolic manifolds

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Hence  $M$ , albeit non-compact, satisfies the following conjecture.

## Conjecture (Chern–Hopf)

*Let  $M$  be a closed, negatively curved  $2k$ -manifold. Then  $(-1)^k \chi(M) > 0$ .*

Other parts of the project include:

- An  $L^2$ -index theorem for manifolds with cusp ends.
- Equivalence of twisted combinatorial and analytic  $L^2$ -torsion.
- $L^2$ -torsion as a functional on representation varieties.

# Parabolics and Invariants

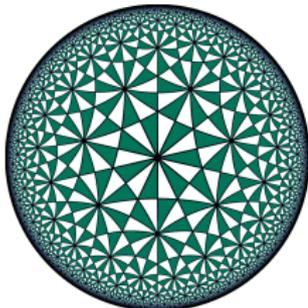
Benjamin Brück, Kai-Uwe Bux, Dawid Kielak,  
Eduard Schesler, Stefan Witzel

Potsdam

9.11.2017

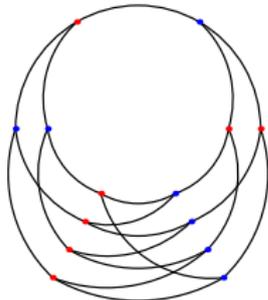
Parabolic subgroups occur as **stabilisers**.

Lie groups



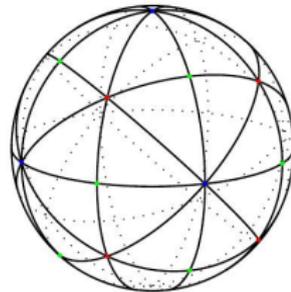
Symmetric spaces

Algebraic groups



Buildings

Coxeter groups

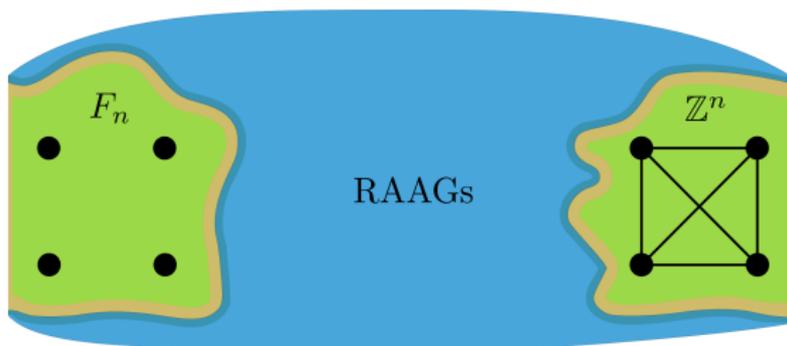


Coxeter complexes



## PhD project of Benjamin Brück (Project I)

- Describe the **free factor complex** in terms of **parabolic** subgroups in  $\text{Aut}(F_n)$ .
- Find an appropriate notion of parabolic subgroups in  $\text{Aut}(\text{RAAG})$  in order **to obtain a complex** interpolating between the free factor complex and the building associated to  $\text{GL}_n(\mathbb{Q})$ .

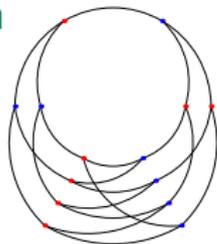


## $\Sigma$ -invariants

There is a sequence of invariants  $\Sigma^n(G) \subset \text{Hom}(G, \mathbb{R})$ , which record which homomorphism  $\phi: G \rightarrow \mathbb{R}$  has **kernel** with good topological properties (a **small classifying space** – type  $F_n$ ).

## PhD project of Eduard Schesler (Project II)

- Prove that the  $\Sigma$ -invariants of a Borel subgroup  $G = \mathbf{B}(\mathbb{Z}[1/p])$  are determined by a polyhedron  $P \subset \text{Hom}(G, \mathbb{R})$  in the sense that  $\Sigma^{k+1}(G)$  is the complement of the  $k$ -skeleton  $P^{(k)}$ .
- Determine the **connectivity** of subcomplexes of buildings arising as **preimages of retractions**.



## Project III – $\Sigma$ - and $L^2$ -invariants

$\Sigma^1(G)$  can be computed using  $L^2$ -invariants for

- 3-manifold groups,
- 2-generator 1-relator groups (knot complement groups).

We would like to do the same for free-by-cyclic groups  $F_n \rtimes \mathbb{Z}$ , more general RAAG  $\rtimes \mathbb{Z}$ , and the Borel subgroup  $\mathbf{B}(\mathbb{Z}[1/p])$ .

## Open problem

Are there **finitely many connected components** of  $\Sigma^1(F_n \rtimes \mathbb{Z})$ ?  
(This is true for 3-manifolds.)

THANK YOU!

# Compactifications and Local-to-global Structure for Bruhat-Tits Buildings

Linus Kramer (Münster) and  
Petra Schwer (Karlsruhe)

Kick-Off SPP 2026  
Potsdam, November 2017

## Main theme and goals

Recover global structures from restricted or sparse data.

**Rigidity** asks to identify isomorphic spaces in one class given an isomorphism between related objects in another class.

A crucial tool for proving rigidity are compactifications.

We want to study compactifications of Bruhat-Tits buildings.

**Local-to-global principles** ask to identify geometric structures from local data.

Roughly speaking a metric space  $X$  is *local-to-global rigid* if every space  $Y$  which locally looks like  $X$  is covered by  $X$ .

We want to study LG-rigidity of Bruhat-Tits buildings.

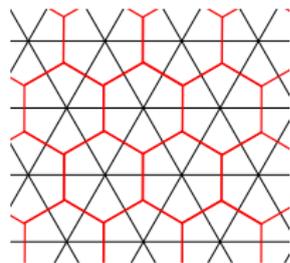
## Local-to-global rigidity

De La Salle and Tessler discovered that the 1-skeleton of the Bruhat–Tits building for the group  $SL_n(K)$  is *not* LG-rigid if  $K = \mathbb{F}_p((t))$  but is LG-rigid if  $K = \mathbb{Q}_p$ .

Indeed, these buildings look alike on a small scale but on an intermediate scale their metric balls look different.

Main objectives:

- 1 Study LG-rigidity of the **chamber graph**.
- 2 Explain geometrically the **failure of LG-rigidity** in the function field case.
- 3 Study LG-rigidity for **other types** than  $\tilde{A}_n$ .



1-skeleton and chamber graph (red) in type  $\tilde{A}_2$ .

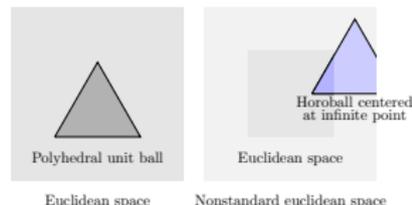
# Compactifications

We consider the horofunction compactification of Euclidean buildings  $X$  equipped with an *asymmetric metric*  $\delta$ .

This generalizes e.g. Satake compactifications, and avoids both Bruhat–Tits Theory of reductive groups and the machinery of Berkovich spaces.

Main objectives:

- 1 Give a **uniform construction** of compactifications.
- 2 Study their **functorial properties**.
- 3 Study the **dynamics** of discrete group actions on buildings.



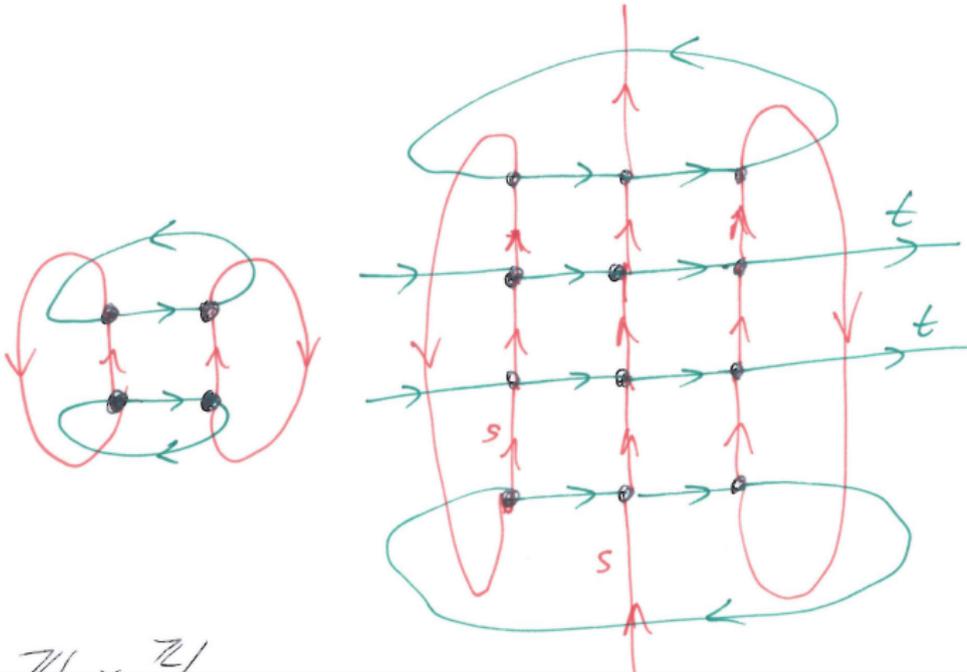
# Asymptotic geometry of sofic groups and manifolds

Vadim Alekseev, Andreas Thom

Institut für Geometrie, TU Dresden

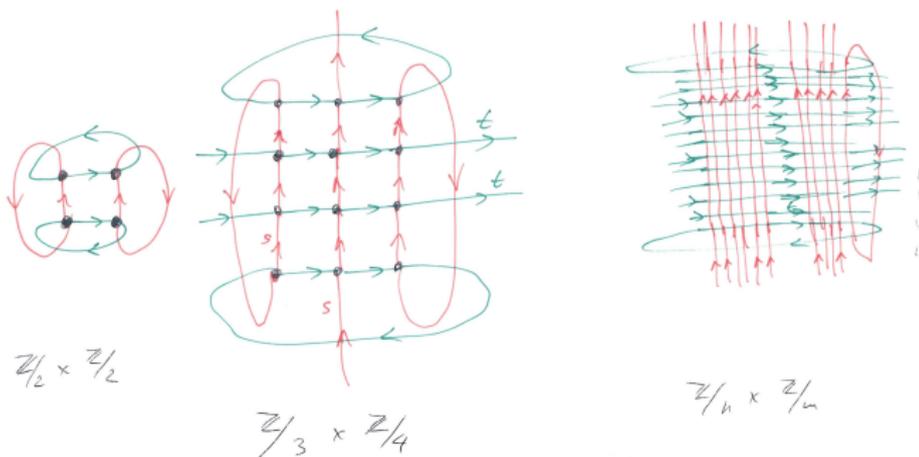
SPP 2026 Kick-Off Meeting, Potsdam

Consider the following approximation of  $\mathbb{Z}^2 = \langle s, t \rangle$ :



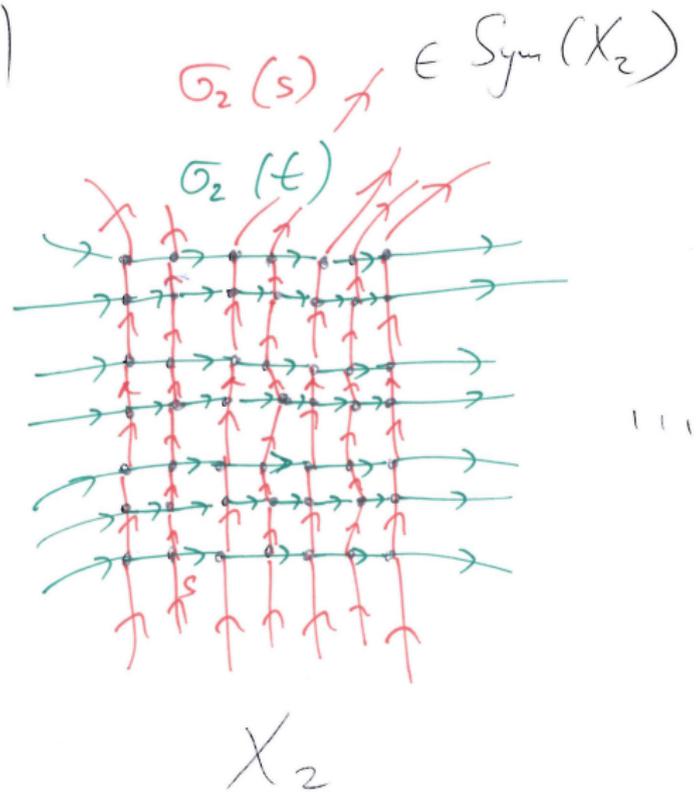
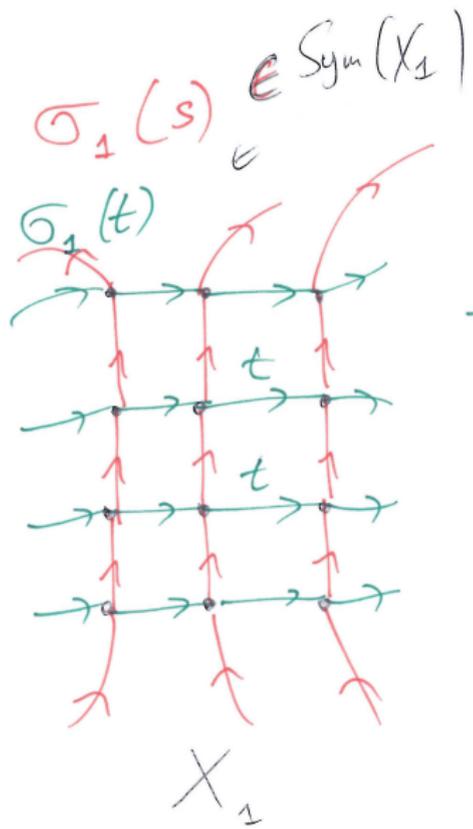
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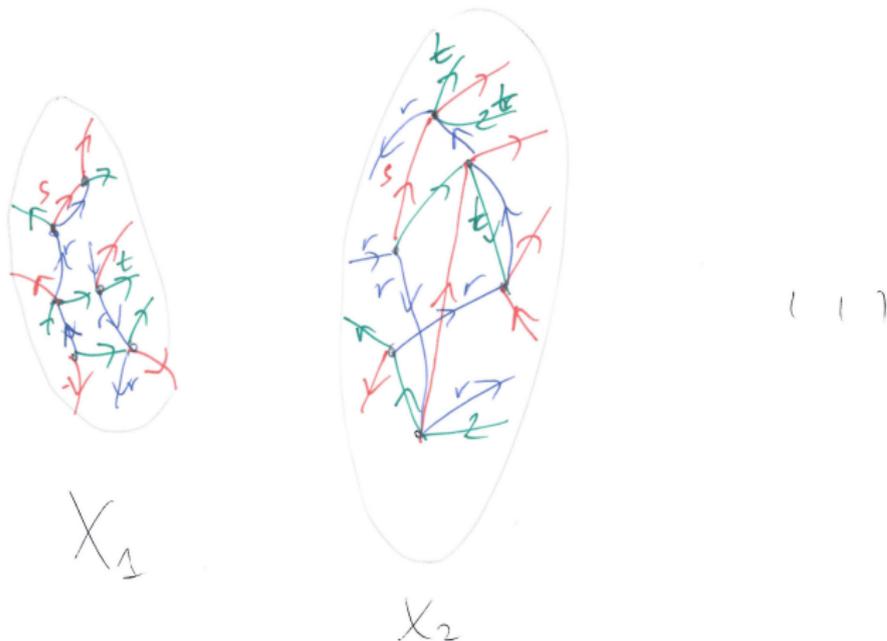
$$\mathbb{Z}^2 = \langle s, t \rangle$$

...and observe that we can alter it at the “edges” keeping  $s, t$  to be permutations:

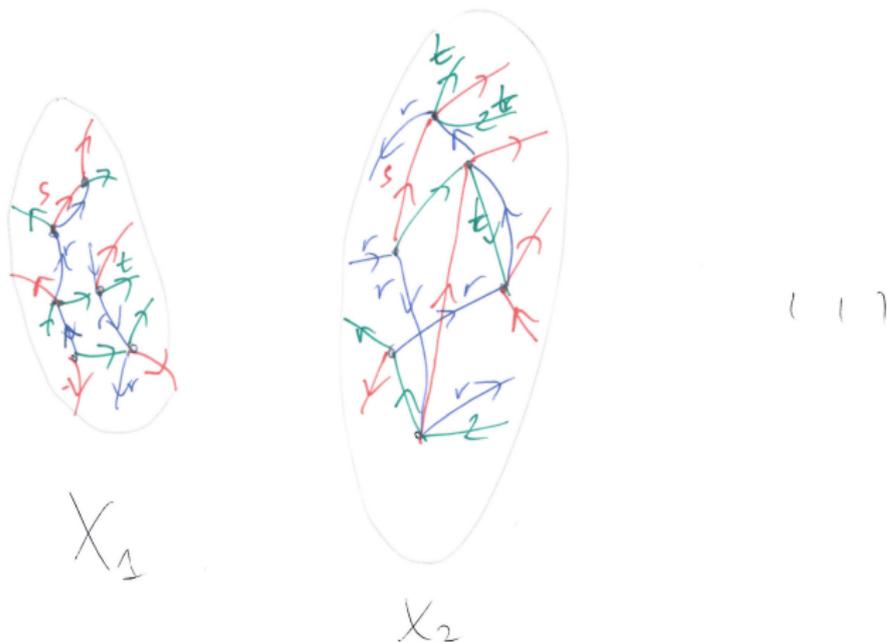


If  $\Gamma = \langle s, t, r, \dots \rangle$  is a group, a sofic approximation of  $\Gamma$  is a sequence of graphs

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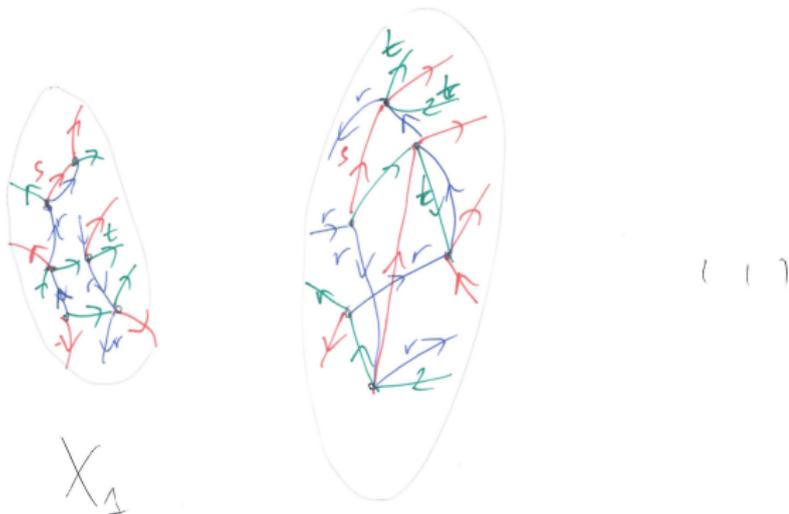


If  $\Gamma = \langle s, t, r, \dots \rangle$  is a group, a sofic approximation of  $\Gamma$  is a sequence of graphs “looking more and more like  $\Gamma$  almost everywhere”:



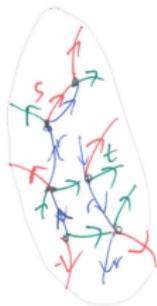
## Definition

If  $\Gamma$  is a group, a sofic approximation of  $\Gamma$  is a sequence of graphs labelled by generators of  $\Gamma$  converging to the Cayley graph of  $\Gamma$  in the sense of Benjamini–Schramm.

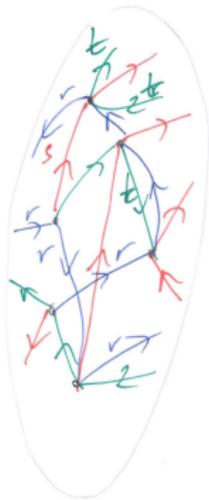


## Definition

A group  $\Gamma$  possessing a sofic approximation is called sofic.



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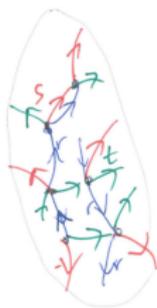
$X_2$

( )

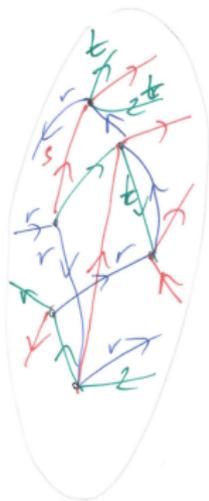
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Major open question: is every group sofic?



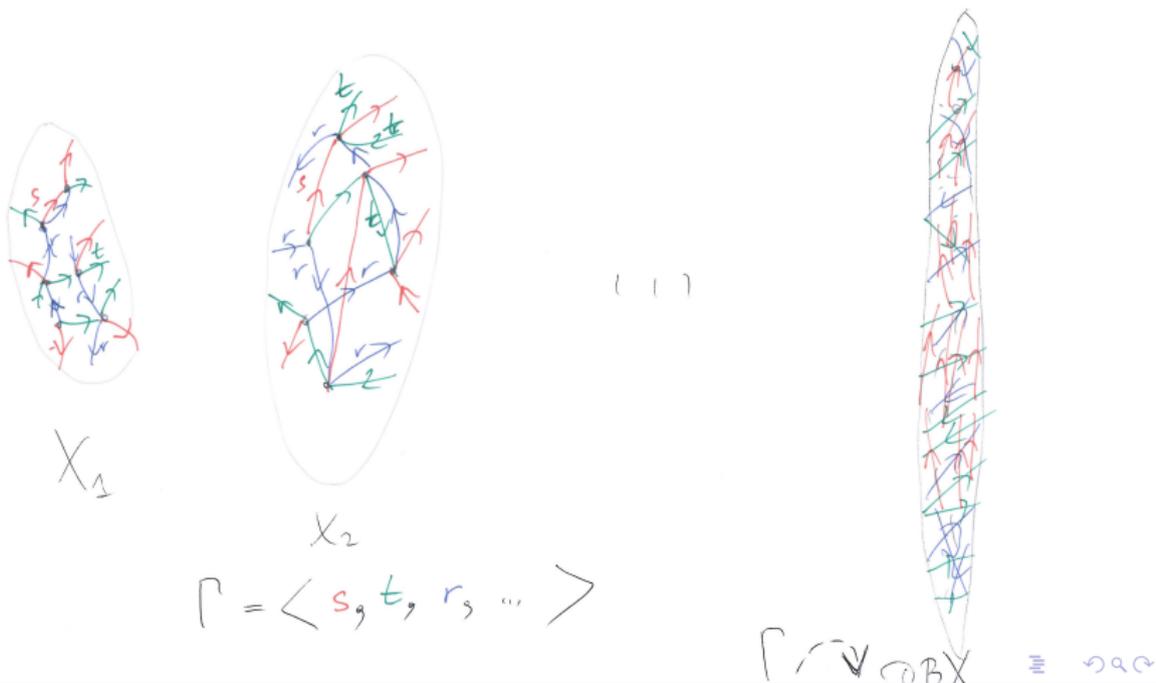
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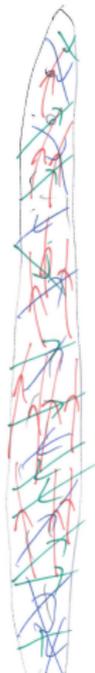
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( )

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## Question

*When is an action a sofic boundary action? Can one characterise soficity of a group by the existence of special action on a topological or measure space?*

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### Question

*How do dynamical invariants of the boundary action relate the group and the geometry of its sofic approximation?*

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### Theorem (A.– Finn-Sell, '16)

*Let  $\Gamma$  be a sofic group,  $\mathcal{X}$  a sofic approximation of  $\Gamma$ , and  $X$  be the space of graphs constructed from  $\mathcal{X}$ . Then geometric properties of  $X$  – such as property A, coarse embeddability into Hilbert space, geometric property (T) – imply the corresponding approximation properties of  $\Gamma$ : amenability, a-T-menability resp. Kazhdan's property (T).*

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## Question

*To which extent do the converse statements hold?*

One can combine the aforementioned ideas to study  
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### Example

*If  $\Gamma$  is sofic and the classifying space  $B\Gamma$  is compact, then  $E\Gamma$  is a limit of finite-dimensional cell complexes in the Benjamini-Schramm sense.*

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For such limits, the behaviour of Betti numbers is well-understood: the limit of normalized Betti numbers can be identified with the  $L^2$ -Betti number of the non-compact manifold.

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### Question

*Do natural sequences of invariants, such as mean Pontryagin numbers or mean Chern numbers exist along a sofic approximation?*



Vadim Alekseev



Leonardo Biz  
(starting December 2017)



Rahel Brugger  
(starting April 2018)



Andreas Thom

# *Invariants and boundaries of spaces*

Andreas Ott, Heidelberg

# I. Bounded cohomology via partial differential equations

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- II. Simplicial volume and polylogarithms

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- II. Simplicial volume and polylogarithms
- III. Asymptotics of Higgs bundles, harmonic maps, and pleated surfaces

Joint work with Tobias Hartnick (Technion).

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Definition (Burger, Monod): Let  $G$  be a topological group. The continuous bounded cohomology  $H_{cb}^\bullet(G; \mathbb{R})$  of  $G$  is defined like the continuous cohomology  $H_c^\bullet(G; \mathbb{R})$  of  $G$

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Bounded cohomology is notoriously difficult to compute, only few cases are known.

But it is expected to be more accessible for Lie groups  $G$ .

Conjecture (Dupont, Monod): Let  $G$  be a connected semisimple Lie group with finite center. Then

$$H_{\text{cb}}^n(G; \mathbb{R}) \cong H_c^n(G; \mathbb{R}) \quad \text{for all } n \geq 0.$$

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The PDE technique also applies to lattices in Lie groups by passing to more general coefficients, e.g. we aim to compute the bounded cohomology of the free group  $\mathbb{F}_2$ .

Joint work with Michelle Bucher (Geneva) and Andreas Potechka (IWR Heidelberg).

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**Goal: Compute the simplicial volume of closed manifolds locally covered by  $SL_3(\mathbb{R})/SO(3)$  in terms of the trilogarithm function.**

This amounts to computing the Gromov norm of the volume class in the continuous bounded cohomology  $H_{\text{cb}}^5(SL_3(\mathbb{R}); \mathbb{R})$ .

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This crucially relies on numerical computer simulations, which are of interest in their own right.

Long term goal: Understand the interaction between the continuous bounded cohomology of  $SL_n(\mathbb{R})$  and polylogarithms.

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DDW only consider  $\Phi$ , while MSWW keep track of both  $A$  and  $\Phi$ .

Goal: If the  $\Phi_\infty$  in DDW correspond to trees, determine what geometric objects in  $\mathbb{H}^3$  the limiting pairs  $(A_\infty, \Phi_\infty)$  in MSWW correspond to.

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Answer in progress: They correspond to pleated surfaces in  $\mathbb{H}^3$ , obtained by high-energy degeneration of the harmonic maps  $u : \tilde{\Sigma} \rightarrow \mathbb{H}^3$ , with total bending angle determined by the holonomy of the limiting connection  $A_\infty$ .

# Hitchin components for orbifolds

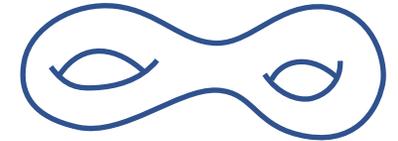
Daniele Alessandrini (Heidelberg)

Gye-Seon Lee (Heidelberg)

9 November 2017

# Character Varieties

$S =$  closed oriented surface of genus  $g \geq 2$



$G = PGL(n, \mathbb{R})$

$$X(\pi_1(S), G) = \text{Hom}^*(\pi_1(S), G)/G$$

Important in

Higher Teichmüller Theory

Knot Theory

Integrable Systems

Gauge Theory

Geometric Quantization

SUSY Quantum Field  
Theories

& other areas of Geometry and Theoretical Physics

# (Higher) Teichmüller theory

$\mathcal{T}(S) = \{\text{Fuchsian representations}\} \subset X(\pi_1(S), PGL(2, \mathbb{R}))$

$\mathcal{T}(S)$  is a connected component of  $X(\pi_1(S), PGL(2, \mathbb{R}))$ .

Generalize to  $PGL(n, \mathbb{R})$

**$Hit(S, n) \subset X(\pi_1(S), PGL(n, \mathbb{R}))$**

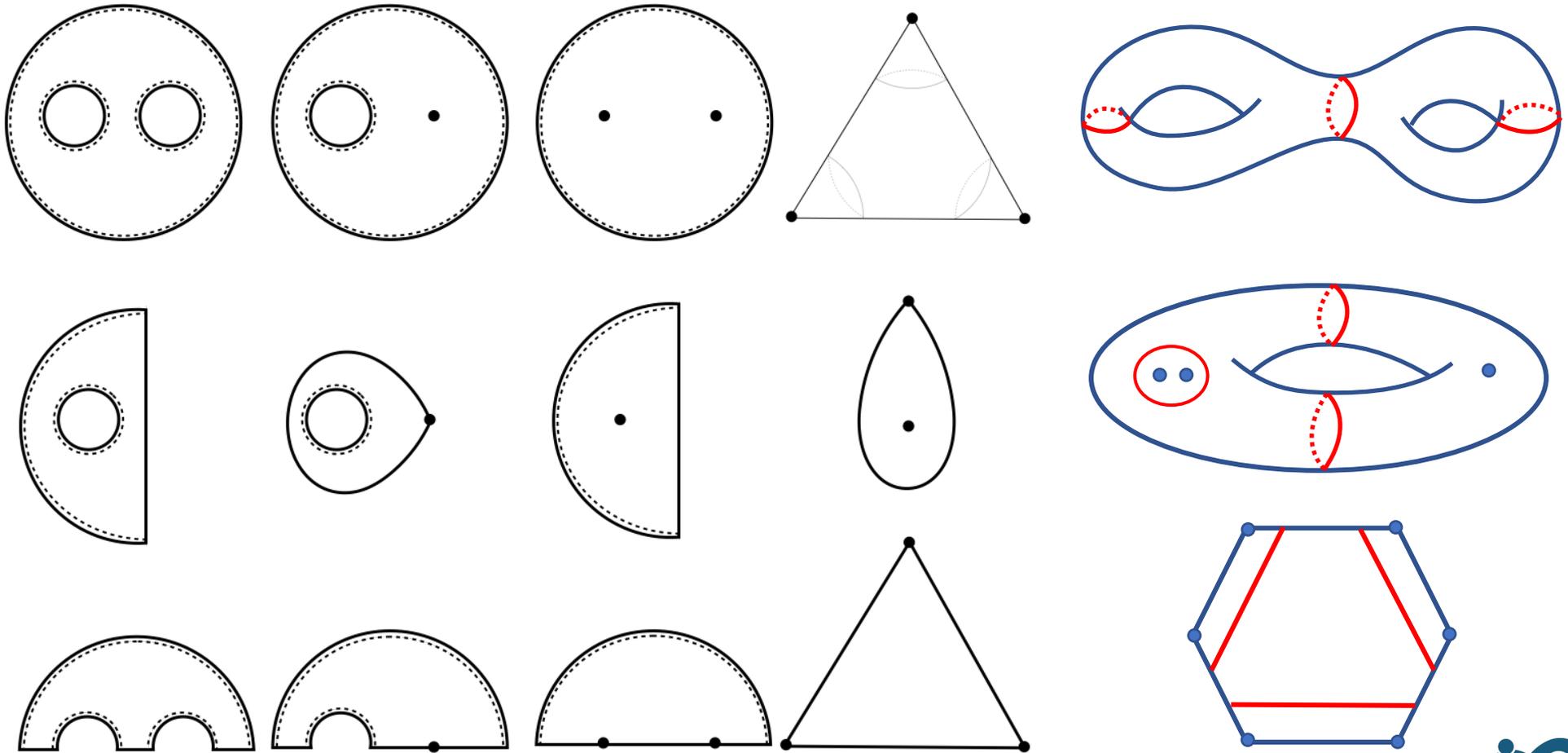
The **Hitchin component** is a connected component of  $X$ .

It is homeomorphic to a ball of dimension  $2(n^2 - 1)(g - 1)$ .

It shares many properties with  $\mathcal{T}(S)$ .

It is a higher Teichmüller space.

# 2-dimensional orbifold



# Topology of Hitchin components of orbifolds

**Theorem** (A-L-Schaffhauser, for  $n=2, 3$ , Thurston, Choi-Goldman)

Let  $\Sigma$  be a compact 2-orbifold with  $\chi(\Sigma) < 0$ .

If  $\Sigma$  is orientable and of genus  $g$  with  $k$  cone points of order  $m_1, \dots, m_k$ , then the Hitchin component of  $\pi_1(\Sigma)$  in  $PGL(n, \mathbb{R})$  is homeomorphic to a ball of dimension

$$2(n^2 - 1)(g - 1) + 2 \sum_{i=1}^k \sum_{d=2}^n \left[ d \left( 1 - \frac{1}{m_i} \right) \right]$$

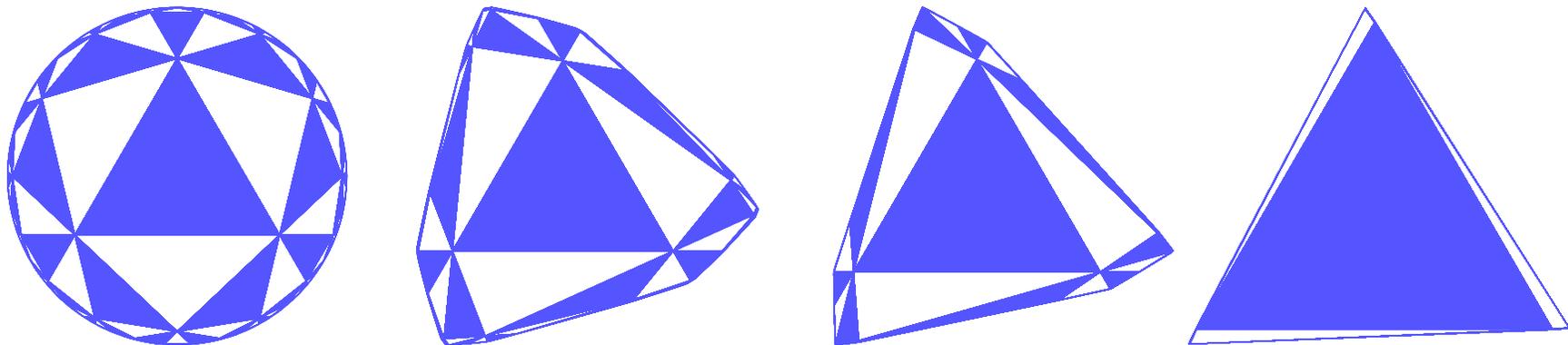
# Applications

- We can classify all the rigid orbifolds, where the Hitchin component has dimension 0.
- There are some orbifolds with very “small” Hitchin component, of dimension 1, 2. Their geometry can probably be understood completely.
- The Hitchin components of orbifolds are submanifolds of the Hitchin components of surfaces, with very high symmetry (totally geodesic).
- Describe parameter spaces of  $\mathbb{R}P^3$ -structures on some Seifert fibered 3-manifolds. We get many examples of closed 3-manifolds with a rigid  $\mathbb{R}P^3$ -structure.

# Project 1: Degeneration of Hitchin representations for small orbifolds

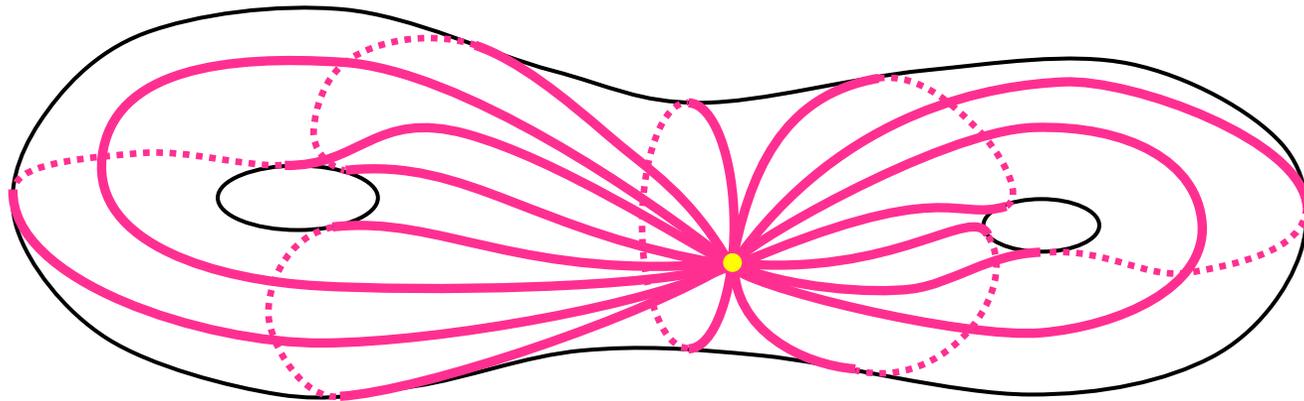
Behavior at infinity of Hitchin components: What happens when a sequence of representations diverges?

Understand this problem for Hitchin components of dimension 1.



# Project 2: Parametrization of Hitchin components for orbifolds

As the Fenchel-Nielsen coordinates played an important role for the Teichmüller theory, it will be useful to find a geometric parametrization of orbifold Hitchin components.



# Anosov representations and Margulis spacetimes

Sourav Ghosh

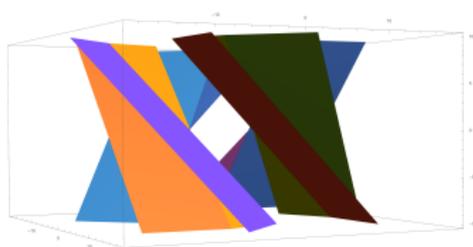
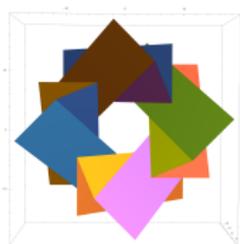
Universität Heidelberg

*sgosh@mathi.uni-heidelberg.de*

November 7, 2017

# Objects of study

1. Anosov representations of word hyperbolic groups into semisimple Lie groups:
  - ▶ the Gromov boundary of the hyperbolic group **embeds** into some appropriate flag variety,
  - ▶ admits some appropriate Anosov **dynamics**.
2. Margulis spacetimes:
  - ▶ quotient manifolds of affine spaces under **proper affine** actions of word hyperbolic groups.



# Known results

- ▶ Labourie, Guichard–Wienhard: Anosov representations are *stable*.
- ▶ Bridgeman–Canary–Labourie–Sambarino: moduli spaces of Anosov representations admit *pressure metrics*.
- ▶ Margulis, Drumm, Abels–Margulis–Soifer, Smilga: *existence* and *examples* of Margulis spacetimes.
- ▶ Goldman–Labourie–Margulis, Ghosh, Ghosh–Treib: *necessary* and *sufficient* conditions for proper actions of word hyperbolic subgroups of  $SO^0(n+1, n) \ltimes \mathbb{R}^{2n+1}$  with Anosov linear parts.
- ▶ Ghosh: Moduli spaces of *mentioned* Margulis spacetimes admit *pressure metrics* with nice properties.

# Main goals

- ▶ Provide **necessary** and **sufficient** conditions for **proper** actions of word hyperbolic subgroups of **general** affine Lie groups with Anosov linear parts.
- ▶ Define and study properties of the **pressure metric** on the moduli space of **general** Margulis spacetimes.
- ▶ Investigate the **boundaries** of the moduli space of Anosov representations.

# Proposed methods

- ▶ Define **continuous versions** of the normalised **vector valued Margulis invariants** introduced by Smilga and use them to give necessary and sufficient conditions for proper actions.
- ▶ Use **Anosov structure** to endow the moduli space of Margulis spacetimes with pressure metric.
- ▶ Use **affine cross ratios** to study the pressure metric.
- ▶ Use Margulis spacetimes admitting **parabolics** to study boundaries of the moduli space of Anosov representations.

# Thank You!

# Rigidity, deformations and limits of maximal representations

Federica Fanoni   Maria Beatrice Pozzetti   Anna Wienhard

Heidelberg University

# Maximal representations

$S$  closed surface of genus  $g \geq 2$

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**Maximal representations:** special representations

$$\rho : \pi_1(S) \rightarrow \mathrm{Sp}(2n, \mathbb{R})$$

or more generally into  $G$  Hermitian Lie group

$$\rightsquigarrow \mathrm{Rep}_{\max}(\pi_1(S), G) \subset \mathrm{Hom}(\pi_1(S), G)/G$$

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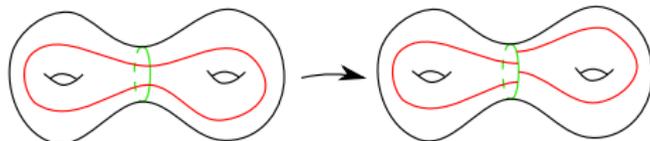
“Nice”:

- Discrete and faithful  $\rightsquigarrow$  get discrete subgroups of  $G$
- Generalizing *Teichmüller space*  $\mathrm{Teich}(S)$  (space of marked hyperbolic structures on  $S$ )
- $\mathrm{Rep}_{\max}(\pi_1(S), G)$  is a union of connected components  $\rightsquigarrow$  deformations and points at infinity

# Earthquakes: deformations of hyperbolic surfaces

Start with a hyperbolic surface  $X \in \text{Teich}(S)$ .

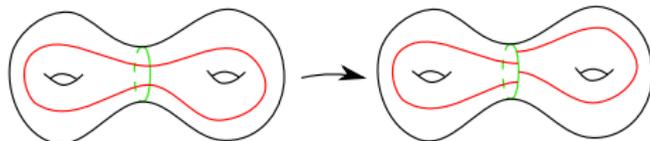
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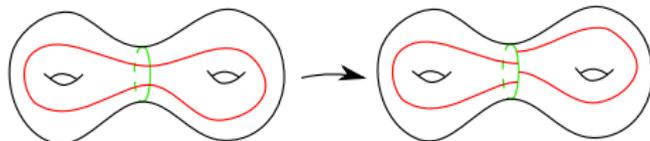
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## Theorem (Thurston)

*Any two points in Teichmüller space can be joined by a left earthquake.*

By varying the amount of twisting, we get a flow in  $\text{Teich}(S)$ , called *earthquake flow*.

# Earthquakes: deformations of maximal representations?

**Goal:** define earthquakes for maximal representations

**Some questions:**

- Which representations can be joined by an earthquake?

**Note:**  $\text{Teich}(S)$  is connected, while  $\text{Rep}_{\max}(\pi_1(S), \text{Sp}(2n, \mathbb{R}))$  isn't.

- Can we define an earthquake flow? What are its properties?

# Projektive Flächen, Segre Strukturen und die $PSL(n,R)$ -Hitchin Komponente

**Thomas Mettler**



SPP 2026 Kickoff Meeting  
Potsdam, November 9-10, 2017



# Paths on a manifold

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Characterisation due to Bryant, Dunajski & Eastwood.

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**Question 2.0.** Which metric has its geodesics “as close as possible” to  $\rho$ ?

Gives a functional on the space of Riemannian metrics.

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Choice of  $[g]$  on  $(M^2, p)$  gives **principal bundle connection**  $A$  and “**Higgs field**”  $\Phi$  s.t. variational equations are

$$d_A''\Phi = 0.$$

## A modified problem

**Question 3.0.** Which **conformal connection** has its geodesics “as close as possible” to the projective structure  $p$ ?

Conformal connection: Connection whose parallel transport maps are **angle preserving** w.r.t.  $[g]$ .

Every Levi-Civita connection is a conformal connection, but not vice versa.

Gives a **non-negative functional**  $\mathcal{F}_p$  on the space of conformal structures.

Why consider the modified problem?

In 2D  $\mathcal{F}_p$  admits an interpretation as a **Dirichlet energy**

Choice of  $[g]$  on  $(M^2, p)$  gives **principal bundle connection**  $A$  and “**Higgs field**”  $\Phi$  s.t. variational equations are

$$d_A''\Phi = 0.$$

Strongly reminiscent of Hitchin’s Higgs bundle equations.

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**Problem.** Relation of  $\mathcal{F}_p$  to the energy functional on Teichmüller space introduced by Donaldson/Labourie?

# Asymptotic geometry of the Higgs bundle moduli space

Jan Swoboda (LMU München) & Hartmut Weiss (CAU Kiel)

Potsdam, November 9-10, 2017



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## **SPP funded coworkers:**

- ▶ Claudio Meneses (postdoctoral fellow)
- ▶ Seven Marquardt (PhD student)

## **Scientific goals:**

Investigation of moduli spaces of solutions of Higgs bundles and related objects *in the large*:

- ▶ degenerations of solutions to the self-duality equations defining the moduli spaces
- ▶ asymptotic profile of underlying geometric structures such as their hyperkähler metrics
- ▶ identification of the resulting *geometry at infinity*

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# Higgs bundles

## Hitchin's self-duality equation

$X$  compact Riemann surface,  $\pi: E \rightarrow X$  complex vector bundle,  
 $h: E \times E \rightarrow \mathbb{C}$  a hermitian metric on  $E$ .

### Structures

- ▶  $\bar{\partial}_E: \Gamma(E) \rightarrow \Omega^{0,1}(E)$  holomorphic structure
- ▶  $d_A: \Gamma(E) \rightarrow \Omega^1(E)$  unitary connection

### Hitchin's equation

$$F_A^\perp + [\Phi \wedge \Phi^*] = 0$$
$$\bar{\partial}_A \Phi = 0$$

where  $F_A^\perp$  is the trace-free part of the curvature.

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# Motivation

## Nonabelian Hodge theory & Kobayashi-Hitchin correspondence

For simplicity:  $\deg(E) = 0$ .

### Theorem (Hitchin, Simpson)

*If  $(\bar{\partial}_{A_0}, \Phi_0)$  is a stable pair, then there exists a solution to Hitchin's equation  $(A, \Phi)$  in the  $\mathcal{G}_{\mathbb{C}}$ -orbit of  $(A_0, \Phi_0)$ .  $(A, \Phi)$  is irreducible and unique up to the action of  $\mathcal{G}$ .*

### Theorem (Donaldson, Corlette)

*If  $\deg(E) = 0$  then there is a 1 : 1 correspondence*

$$\begin{aligned} & \{ \text{irreducible solution of Hitchin's equation } (A, \Phi) \} / \mathcal{G} \\ \longleftrightarrow & \{ \text{irreducible representations } \rho: \pi_1(X) \rightarrow \mathrm{GL}(r, \mathbb{C}) \} / \mathrm{GL}(r, \mathbb{C}) \end{aligned}$$

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## Basic questions

Consider sequence  $(A_n, \Phi_n)$  of solutions:

- ▶  $\|\Phi_n\|_{L^2} \leq C < \infty$ : Uhlenbeck compactness  $\implies (A_n, \Phi_n)$  subconverges to solution  $(A_\infty, \Phi_\infty)$
- ▶  $\|\Phi_n\|_{L^2} \rightarrow \infty$ :  $(A_n, \Phi_n)$  exiting end of the moduli space

### Question 1 (analytic)

What is the degeneration behavior of a diverging sequence of solutions?

### Question 2 (geometric)

Describe asymptotics of hyperkähler metric?

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# Results

(Partial) compactification by limiting configurations

## Theorem (MSWW)

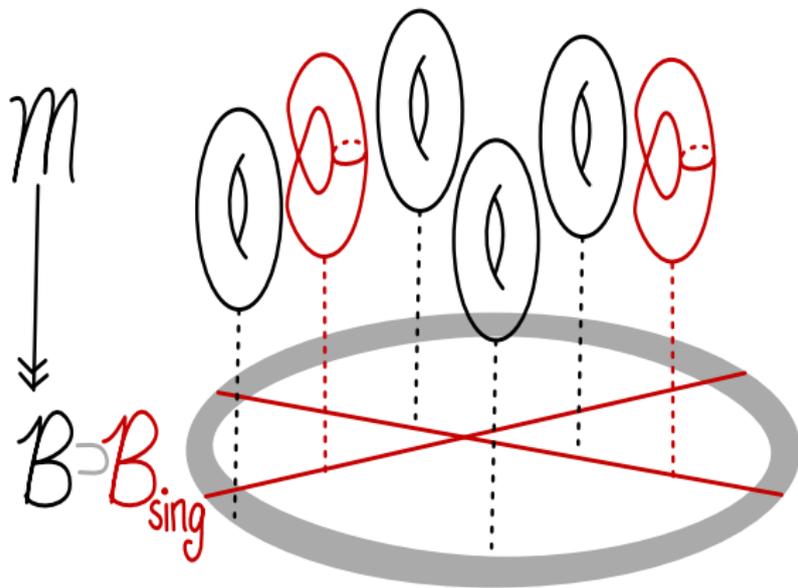
*For each limiting configuration  $(A_\infty, \Phi_\infty)$  there exists a family  $(A_t, \Phi_t)$  of solutions to Hitchin's equation*

$$F_{A_t} + t^2[\Phi_t \wedge \Phi_t^*] = 0, \quad \bar{\partial}_{A_t} \Phi_t = 0$$

*such that  $(A_t, \Phi_t) \rightarrow (A_\infty, \Phi_\infty)$  as  $t \rightarrow \infty$  locally uniformly on  $X^\times$  and exponentially fast in  $t$ .*

# Results

(Partial) compactification by limiting configurations



# The semiflat metric

## The GMN conjecture

Gaiotto, Moore and Neitzke describe (on a conjectural level) a procedure how to correct the semiflat metric  $g_{sf}$  on  $\mathcal{M}$  (associated with the integrable system data) in order to obtain a complete HK-metric on  $\mathcal{M}$ .

These corrections are exponentially small in  $t$  and conjecturally give back Hitchin's  $L^2$ -metric.

### Conjecture (Gaiotto, Moore, Neitzke)

$\exists \lambda > 0$  s.t.

$$g_{L^2} = g_{sf} + O(e^{-\lambda t}).$$

Our recent work provides verification with a *polynomial* error:

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## Research goals within the SPP project

- ▶ Asymptotic geometry of branes and Hitchin fibers (Sven Marquardt)
- ▶ Kähler and analytic structures in moduli spaces originating from families of field theories (Claudio Meneses)
- ▶ Behavior of  $\mathcal{M}$  under degenerations of the Riemann surface (Jan Swoboda)
- ▶ Exponential versus polynomial asymptotics (with Andy Neitzke, Rafe Mazzeo)
- ▶ Parabolic Higgs bundle moduli space on 4-punctured sphere as an ALG gravitational instanton (with Laura Fredrickson, Rafe Mazzeo)
- ▶ Gluing theorem for higher order zeroes (with Laura Fredrickson, Rafe Mazzeo)
- ▶ Harmonic maps and pleated surfaces (Jan Swoboda with Andreas Ott, Richard Wentworth and Mike Wolf)
- ▶ Asymptotic geometry of hyperpolygon spaces (Hartmut Weiss with Steven Rayan)

# Asymptotic geometry of moduli spaces of curves

Roger Bielawski & Carolin Peternell  
Leibniz Universität Hannover



Potsdam, November 9, 2017

# Twistor spaces

- Several important geometric structures can be constructed and studied via their *twistor space*, i.e. as a parameter space of (real) rational curves in a complex manifold.
- The main examples are hyperkähler and hypercomplex manifolds. The twistor space of such a manifold  $M^{4n}$  is a complex  $(2n+1)$ -dimensional  $Z$  manifold diffeomorphic to  $M \times S^2$ , fibring over  $\mathbb{C}P^1$  and equipped with an antiholomorphic involution  $\sigma$  covering the antipodal map on  $\mathbb{C}P^1$ .
- $M$  with its hypercomplex structure can be recovered as a family of  $\sigma$ -invariant sections of  $Z \rightarrow \mathbb{C}P^1$  with normal bundle splitting as  $O(1) \otimes \mathbb{C}^n$ .
- The basic example is  $M = \mathbb{H}^n$ , where the twistor space is simply the total space of  $O(1) \otimes \mathbb{C}^n$ .
- The twistor space  $Z$  of  $S^1 \times \mathbb{R}^3$  is the total space of a nonalgebraic line bundle  $L$  over  $\mathbb{TP}^1$  with the zero section removed.

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- It turns out that hyperkähler or hypercomplex manifolds can also be obtained from higher degree curves.

## Theorem

Let  $\pi : Z \rightarrow \mathbb{P}^1$  be the twistor space of 4-dimensional hyperkähler manifold. Then the subset  $\mathcal{M}_d$  of the smooth locus of the Hilbert scheme of  $\sigma$ -invariant curves of degree  $d$ , satisfying  $H^*(N_{C/Z}(-2)) = 0$ , is, if nonempty, a hyperkähler manifold of real dimension  $4d$ .

- This is generalisation of a result of O. Nash, who showed that if we start with the twistor space of  $S^1 \times \mathbb{R}^3$ , then the hyperkähler manifold of stable curves of degree  $d$  in  $Z$  is the moduli space of  $SU(2)$ -monopoles of charge  $d$  with its natural hyperkähler metric.
- If we start with the twistor space of an ALE-space, we shall get complete hyperkähler manifolds (Seidel and Smith, Manolescu, Jackson). Similarly, if we start with the Taub-NUT metric, the Atiyah-Hitchin manifold or the resolution of the  $D_2$ -singularity.

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- What is almost completely unclear is the simplest case: the twistor space  $Z = \mathbb{P}^3 - \mathbb{P}^1$  of  $\mathbb{H}$ , so that curves in  $Z$  are curves in the projective space not intersecting a fixed projective line.
- It is known that the parameter space  $H_{d,g}$  of space curves with degree  $d$  and genus  $g$  contains smooth curves with  $H^*(N_{C/\mathbb{P}^3}(-2)) = 0$  for any  $d$  greater than or equal to some  $D(g)$  (e.g.  $D(0) = 3$  and  $D(1) = 5$ ). As soon as  $H_{d,g}$  contains also a  $\sigma$ -invariant smooth curve with  $H^*(N_{C/\mathbb{P}^3}(-2)) = 0$ , we obtain a natural pseudo-hyperkähler structure on a submanifold of  $H_{d,g}$ . Can we compute these metrics? Are they complete? In the simplest case  $g = 0, d = 3$ , i.e. twisted cubics, the hyperkähler manifold has been identified as the flat  $\mathbb{R}^{12}$  (thus complete).
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We therefore want to investigate the global geometry of such moduli spaces of curves via twistor methods; more precisely we want to investigate the completeness and the asymptotic geometry of natural metrics on manifolds arising as smooth loci of Hilbert schemes of real algebraic curves (satisfying certain stability conditions) in complex (non-compact) manifolds, particularly in **3**-folds.

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In fact one could ask: given a complex 3-fold equipped with an antiholomorphic involution  $\sigma$ , what is the natural geometry on the Hilbert scheme of  $\sigma$ -invariant curves?

- to obtain new examples of several interesting differential-geometric structures, including hyperkähler and quaternion-Kähler metrics and pluricomplex structures.
- to investigate global properties of these new examples, in particular their completeness.
- to study the asymptotic geometry and geometric compactifications of manifolds arising as such moduli spaces of curves via compactification of the relevant twistor space. We hope that this approach will have applications to computing  $L^2$ -cohomology for such manifolds, particularly those which are physically relevant, such as monopole or Hitchin's moduli spaces.

# Stability and instability of Einstein manifolds with prescribed asymptotic geometry

Klaus Kröncke

University of Hamburg

Kickoff meeting of the SPP 2026  
University of Potsdam, November 10, 2017

## Question

Let  $(M, g)$  be a Riemannian manifold which is Einstein (i.e.  $\text{Ric} = \lambda \cdot g$ ). Is it a stable fixed point of the Ricci flow

$$\dot{g} = -2\text{Ric}_g$$

on the space of metrics modulo rescalings?

- Compact case: Stability is characterized in terms of Perelman's entropies.

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  - linearly stable and integrable Ricci-flat ALE (asymptotically locally Euclidean) spaces are stable (Deruelle-K.).
  - The Euclidean Schwarzschild metric is unstable (Takahashi).

# Objectives of the project

We want to understand the

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- stability problem under Ricci flow in the case of Einstein manifolds with prescribed asymptotics (ALE, ALF, ALG, ALH, asymptotically (locally) conical, asymptotic products,...)
- moduli space of Einstein manifolds in these cases (smoothness?).
- spectrum of the linearized operator  $\Delta_L$  in some explicit cases (e.g. generalized (AdS-)Riemannian Schwarzschild metrics).

- Long-time behaviour of the heat kernel of  $\Delta_L$ .

# Subproblems and related problems

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- Defining entropies for the Ricci flow in the noncompact case.

- A. Deruelle, K. Kröncke, Stability of ALE Ricci-flat manifolds under Ricci flow, preprint arXiv:1707.09919

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Thank you for your attention!



# Curvature Flows without Singularities

Wolfgang Maurer

(Oliver C. Schnürer)

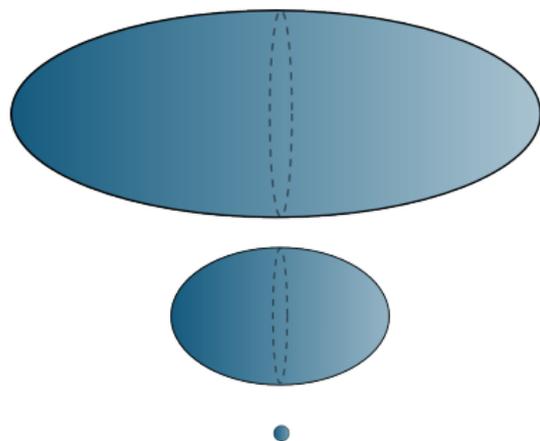
University of Konstanz

09/10.11.2017

SPP 2026: Kick-Off Meeting

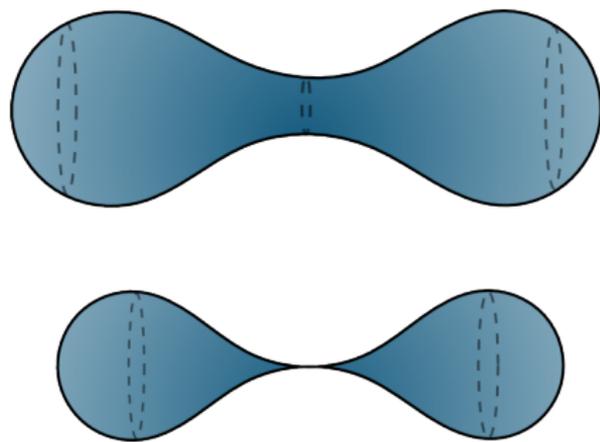
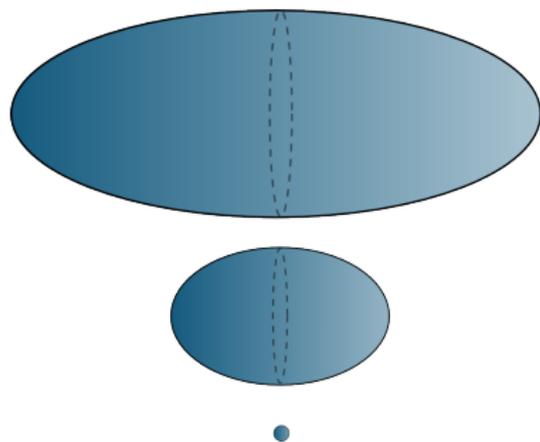
Let  $M^n$  be an  $n$ -dimensional manifold and let  $X: M^n \times [0, T) \rightarrow \mathbb{R}^{n+1}$  be such that  $X(\cdot, t)$  is an immersion for all  $t$ .

$$\frac{d}{dt}X = -H\nu \equiv -\text{mean curvature} \cdot \text{normal} \quad (\text{Mean Curvature Flow (MCF)})$$



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Let  $\Omega \subset \mathbb{R}^n$  be open and let  $u: \Omega \times [0, T) \rightarrow \mathbb{R}$ .

Then graph  $u(\cdot, t)$  moves by MCF if and only if  $u(x, t)$  solves the quasi-linear parabolic PDE

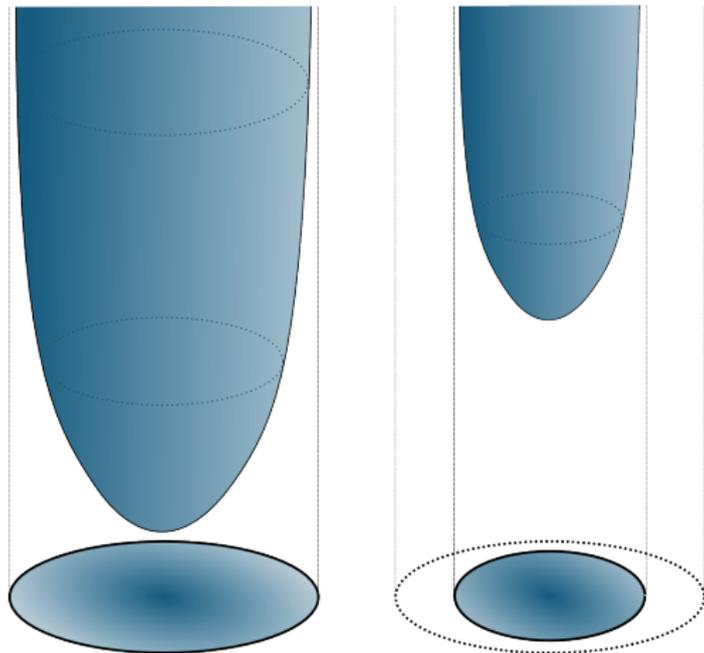
$$\text{-normal velocity} \equiv \frac{\partial_t u}{\sqrt{1 + |\nabla_x u|^2}} = \operatorname{div} \left( \frac{\nabla_x u}{\sqrt{1 + |\nabla_x u|^2}} \right) \equiv \text{mean curvature.}$$

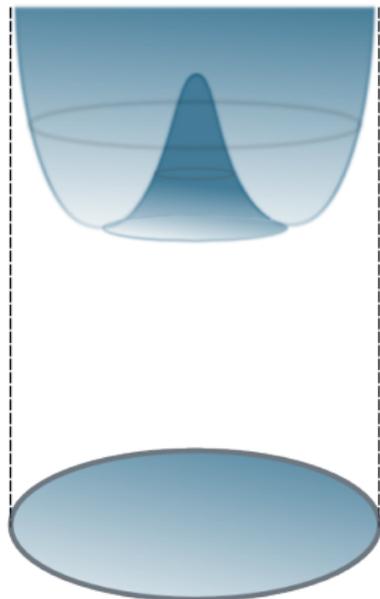
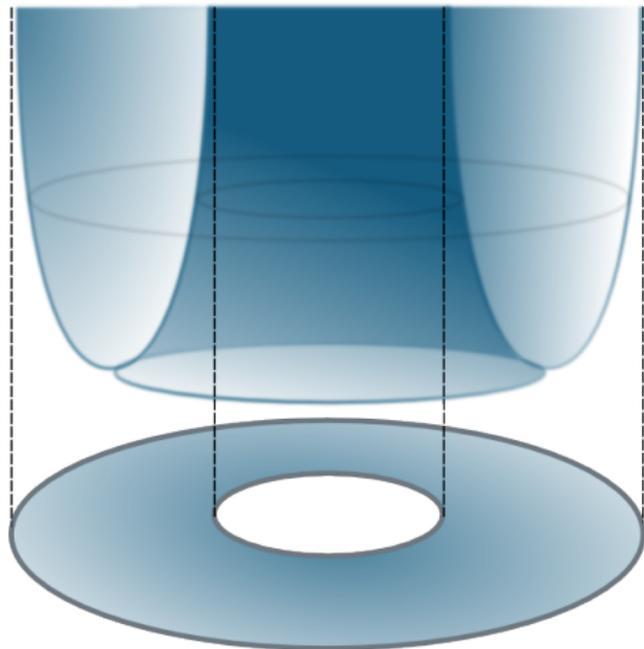
(Graphical MCF)

Starting from a closed hypersurface, singularities will always form.

But the graphical case is better behaved . . .

(e.g. K. Ecker, G. Huisken, *Interior estimates for hypersurfaces moving by mean curvature*, JDG 1991)





## Theorem (Existence) (M. Sáez, O. Schnürer; W. Maurer)

For given initial data, there exists a space-time domain  $\Omega$  and a function  $u: \Omega \rightarrow \mathbb{R}$  solving

$$\frac{\partial_t u}{\sqrt{1 + |\nabla_x u|^2}} = \operatorname{div} \left( \frac{\nabla_x u}{\sqrt{1 + |\nabla_x u|^2}} \right) \quad \text{in } \Omega$$

with the given initial data and  $|u| \rightarrow \infty$  at  $\partial\Omega$  for  $t > 0$ .

The domain of definition  $\Omega$  is part of the solution.

Regarding the time-slices  $\Omega_t$ , we have the following result:

## Theorem (Weak interpretation) (M. Sáez, O. Schnürer; W. Maurer)

The boundaries  $\partial\Omega_t$  solve a weak version of mean curvature flow.

- Prove **uniform curvature estimates** dependent on the geometry of  $\partial\Omega_t$
- Show that the solution is **smoothly asymptotic to the cylinder**  $\partial\Omega_t \times \mathbb{R}$
- Understand the problem of **uniqueness** and non-uniqueness
- Behaviour at fattening
- Construction of translating solutions
- Understand singularities at infinity

## Further objectives

- Study the corresponding Neumann boundary value problem
- Fully nonlinear curvature flows (more general normal velocities)
- Mean curvature flow without singularities on manifolds

## Problems

- Non-compactness of the setting
- Existing estimates are height-dependent  
But we expect better behaviour at non-singular times

## Methods

- Regularity theory for MCF
- Maximum principles / avoidance principles / a priori estimates
- Monotonicity formulas / entropy estimates
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- Theory of weak solutions
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Thank you for your attention!

## Theorem (M. Sáez, O. Schnürer)

For  $u_0: A \rightarrow \mathbb{R}$ ,  $A \subset \mathbb{R}^n$  open, bounded from below, locally Lipschitz and proper, there exists  $(\Omega, u)$ , where  $\Omega \subset \mathbb{R}^n \times [0, \infty)$  is open and  $u: \Omega \rightarrow \mathbb{R}$  proper, such that

$$\begin{cases} \frac{\partial_t u}{\sqrt{1 + |\nabla_x u|^2}} = \operatorname{div} \left( \frac{\nabla_x u}{\sqrt{1 + |\nabla_x u|^2}} \right) & \text{in } \Omega, \\ u(\cdot, 0) = u_0 & \text{in } A. \end{cases}$$

## Theorem (M. Sáez, O. Schnürer)

In the above setting, if  $u_0$  is bounded from below and if the level-set flow of  $\partial\Omega_0$  does not fatten, then the measure theoretic boundary  $\partial^\mu \Omega_t$  coincides with the level-set flow starting from  $\partial\Omega_0$ .

## Theorem (W. Maurer)

Let  $\Omega \subset \mathbb{R}^n$  be open, smooth ( $\partial\Omega \in C^\infty$ ) and mean convex ( $H[\partial\Omega] \geq 0$ ). Let  $u_0: \Omega \rightarrow [-\infty, \infty]$  be continuous and locally Lipschitz-continuous on  $\{|u_0| < \infty\}$ . Then there exists a continuous function  $u: \Omega \times [0, \infty) \rightarrow [-\infty, \infty]$ , smooth on  $\{|u| < \infty, t > 0\}$ , solving

$$\begin{cases} \frac{\partial_t u}{\sqrt{1 + |\nabla_x u|^2}} = \operatorname{div} \left( \frac{\nabla_x u}{\sqrt{1 + |\nabla_x u|^2}} \right) & \text{in } \{|u| < \infty, t > 0\}, \\ u(x, t) = u_0(x) & \text{for } t = 0 \text{ or } x \in \partial\Omega. \end{cases}$$

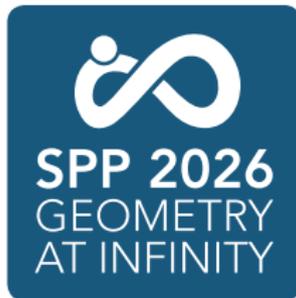
Moreover the set  $\{|u| < \infty\}$  is a weak solution of mean curvature flow in the sense of barriers, i. e. any classical solution starting inside, stays inside, and any classical solution starting outside, stays outside.

# Solutions to Ricci flow with scalar curvature bounded in $L^p$

Jiawei Liu and Miles Simon

(University of Magdeburg, Germany)

Kick Off Meeting , 9. and 10. Nov., 2017, Potsdam



# The Ricci Flow equation

$M^n$  smooth, closed (ie.  $\partial M = \emptyset$  and  $M$  is compact)  
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$$\frac{\partial}{\partial t} g(x, t) = -2\text{Rc}(g(\cdot, t))(x)$$

for all  $x \in M$  for all  $t \in [0, T)$ .

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## Theorem 1 (Hamilton '82)

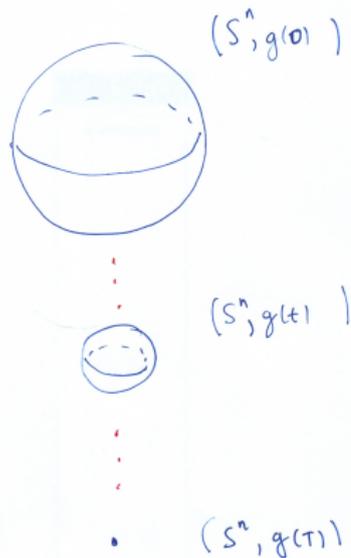
If  $(M^n, g_0)$  is closed then there exists a unique smooth, *maximal* solution  $(M, g(t))_{t \in [0, T)}$  to Ricci flow for some  $T \in [0, \infty]$ . If  $T < \infty$ , then  $\sup_M |\text{Rm}(g(t))| \rightarrow \infty$  as  $t \nearrow T$ .

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Examples:

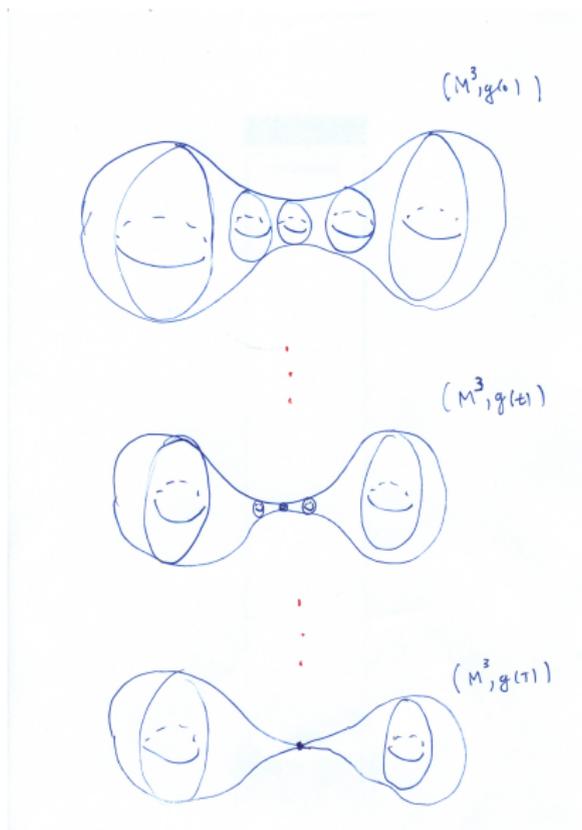
$(M^n, g(0)) = (S^n, \gamma)$ , where  $\gamma$  is the standard metric with  $\text{Sec} = 1$ . Then  $(S^n, g(t))_{t \in [0, T)} = (S^n, (1 - 2(n-1)t)\gamma)$ ,  $t \in [0, T = \frac{1}{2(n-1)})$

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In higher dimensions there is far less known about the possible types of singularities that can occur for arbitrary solutions.

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For the rest of the talk we restrict to the case that  $T$  is a possible finite singular time, that is  $0 < T < \infty$ . Estimates which give some information on the possible types of finite time singularities that can occur in dimension  $n = 4$  are known:

## Theorem 2 (Si. , '15: Basic Integral Estimate)

Let  $(M^4, g(t))_{t \in [0, T]}$  be an arbitrary smooth solution to Ricci flow on a closed oriented 4-manifold  $M^4$  and assume that the scalar curvature satisfies  $\inf_M R(\cdot, 0) > -1$  at time zero. Then

$$\int_M \frac{|\text{Rc}|^2(\cdot, S)}{(R(\cdot, S) + 2)} d\mu_{g(S)} + \int_0^S \int_M \frac{|\text{Rc}|^4(\cdot, t)}{(R(\cdot, t) + 2)^2} d\mu_{g(t)} dt$$
$$\leq e^{32S} \left( c_0(M, g_0) + 2^{10} \int_0^S \int_M R^2(\cdot, t) d\mu_{g(t)} dt \right)$$

for all  $S < T$ , where  $c_0$  depends only on the initial Riemannian manifold.

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After scaling once at time zero, we can assume without loss of generality that  $\sup_{M \times [0, T)} |\mathbf{R}| < 1$  due to the scaling properties of Ricci flow. Inserting this and the Gauß-Bonnet Theorem in  $4D$ ,

$$\int_M |\mathbf{Rm}|^2 d\mu_g = 32\pi^2 \chi + \int_M (4|\mathbf{Rc}|^2 - \mathbf{R}^2) d\mu_g,$$

into the **Basic Integral Estimate**, we get the much stronger estimates...

$$\int_M |\text{Rm}|^2(\cdot, S) d\mu_{g(S)} \leq \hat{c}_0(M, g_0) e^{32T} \quad (1)$$

and

$$\int_0^T \int_M |\text{Rc}|^4(\cdot, t) d\mu_{g(t)} dt \leq \hat{c}_0(M, g_0) e^{32T} \quad (2)$$

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for all  $S < T$ . (1) and other estimates in the case of  $\sup_{M \times [0, T)} |\text{R}| \leq 1$ , were also obtained by R.Bamler/Q.Zhang ('15) independently using different methods (heat kernel methods).

In earlier papers of Q. Zhang '07,'13, R. Ye,'07, X. Chen/ B. Wang '13 it was shown for general  $n$  and closed  $M^n$ , using monotone quantities introduced by Perelman, that  $\sup_{M \times [0, T)} |\mathbb{R}| < 1$  and  $T < \infty$  also implies a uniform Sobolev inequality (uniform in the sense that the constants involved only depend on  $T$  and  $(M, g_0)$ )

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$$\sigma_1 \leq \frac{\text{vol}(B_{g(t)}(x, r))}{r^n} \leq \sigma_0$$

for all  $x \in M, 0 \leq t < T$  and  $0 < r \leq 1$ , where  $\sigma_1, \sigma_2$  are fixed positive constants.

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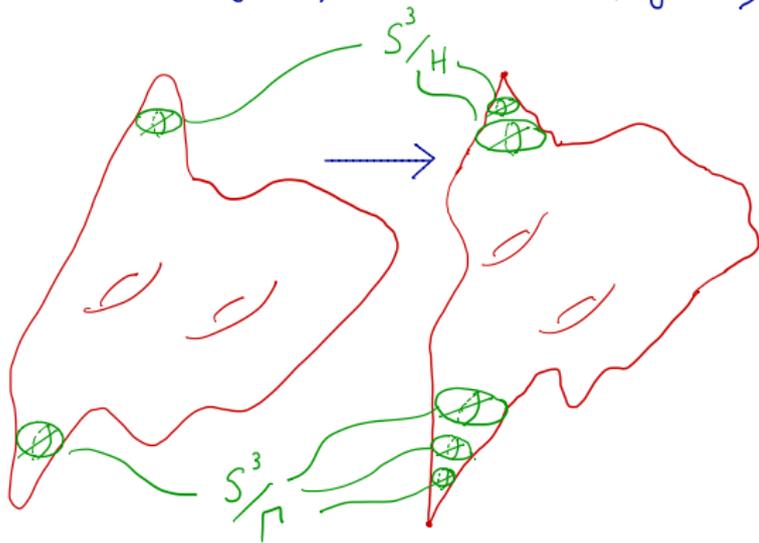
$$\int_0^T \int_M |\text{Rc}|^4(\cdot, t) d\mu_{g(t)} dt \leq \hat{c}_0(M, g_0) e^{32T} \quad (2),$$

for all  $S < T$ , and carefully analysing the regions where the full Riemannian curvature concentrates, respectively doesn't concentrate, in the  $L^2$  sense as  $t \nearrow T$ , we see...

### Theorem 3 (Si. '15, R. Bamler+Q. Zhang '15)

Let  $(M^4, g(t))_{t \in [0, T]}$ ,  $T < \infty$ , be closed, oriented solution to (RF), and assume  $\sup_{M \times [0, T]} |\mathbb{R}| < \infty$ . Then  $(M, d(g(t))) \rightarrow (X, d_X)$  as  $t \nearrow T$  in the Gromov-Hausdorff sense, where  $(X, d_X)$  is a Riemannian  $C^0$  orbifold with finitely many orbifold points  $\{p_1, \dots, p_K\}$ . There is a smooth diffeomorphism  $f : V \subset M \rightarrow X \setminus \{p_1, \dots, p_K\}$  and here the convergence is smooth: There exists a smooth Riemannian metric  $\gamma$  on  $X \setminus \{p_1, \dots, p_K\}$  s.t.  $f_*(g(t)) \rightarrow \gamma$  in the  $C_{loc}^\infty$  sense, and the distance induced on  $X$  by  $\gamma$  is  $d_X$ .

$$(M^4, g(t)) \xrightarrow{t \rightarrow T} (M^4, g(T))$$



$H, \Gamma \in O(4)$ , finite subgroups

## Theorem 4 (Si. '15)

*It is possible to continue the flow from  $(X, d_X)$  for a short time using the orbifold Ricci flow. Here, one obtains a smooth solution  $(X, h(t))_{t \in (T, T+\alpha)}$  for some  $0 < \alpha < \infty$  such that  $(X, d(h(t))) \rightarrow (X, d_X)$  in the Gromov-Hausdorff sense as  $t \searrow T$ .*

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- (i) prove similar, possibly modified versions of the, estimates proved in the papers of Q. Zhang '07, '13, R. Ye, '07, X. Chen/ B. Wang '13 on the Sobolev Inequality and on the volume of evolving balls (general  $n$ )

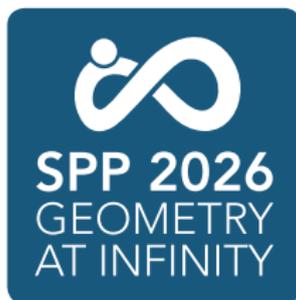
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- (ii) In the case  $n = 4$  use the **Basic Integral Estimate** and the estimates from (i) to investigate and understand better the structure of possible Gromov-Hausdorff limits as  $t \nearrow T$  of our solutions.

Thank you for your attention!



**DFG** Deutsche  
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# Nonlinear evolution equations on singular manifolds

Nikolaos Roidos  
SCHR 319/9-1

Principal Investigator: Elmar Schrohe  
Institut für Analysis, Leibniz Universität Hannover

Kick-Off Meeting  
Potsdam 2017

# Manifolds with conical singularities

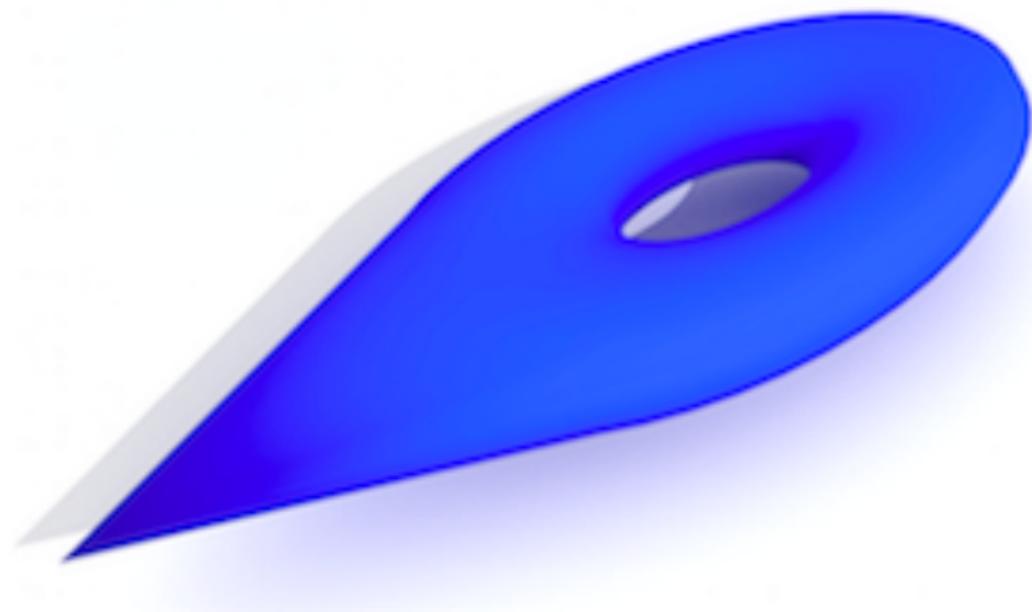
We model a manifold with conical singularities by a smooth compact  $n$ -dimensional,  $n \geq 2$ , manifold  $\mathcal{B}$  with boundary  $\partial\mathcal{B}$  endowed with a Riemannian metric  $g$  such that

$$g = dx^2 + x^2 h(x) \quad \text{on} \quad [0, 1) \times \partial\mathcal{B},$$

where  $x \in [0, 1)$  and  $h(x)$  is a smooth (up to  $x = 0$ ) family of non-degenerate Riemannian metrics on  $\partial\mathcal{B}$ .

- $\partial\mathcal{B}$  can be disconnected.
- $\{0\} \times \partial\mathcal{B}$  corresponds to the conical tips.
- Denote  $\mathbb{B} = (\mathcal{B}, g)$  and call it *conic manifold*.
- Consider also *manifolds with boundary and conical singularities*.

# A conic manifold



# The Laplacian on a conic manifold

The associated Laplacian on  $(0, 1) \times \partial\mathcal{B}$  has the conically degenerate form:

$$\Delta = \frac{1}{x^2} \left( (x\partial_x)^2 + \left( n - 1 + \frac{x\partial_x \det[h(x)]}{2 \det[h(x)]} \right) (x\partial_x) + \Delta_{h(x)} \right),$$

where  $\Delta_{h(x)}$  is the Laplacian on  $\{x\} \times \partial\mathcal{B}$  induced by the metric  $h(x)$ .

- Impose Dirichlet or Neumann boundary conditions in the case of a manifold with boundary and conical singularities.
- Regard  $\Delta$  as a *cone differential operator*.
- Make use of the *cone calculus*.

# The porous medium equation

The *porous medium equation* (PME) is the parabolic diffusion equation

$$\begin{aligned}u'(t) - \Delta(u^m(t)) &= 0, \quad t > 0, \\u(0) &= u_0,\end{aligned}$$

where  $m > 0$ . It describes the flow of a *gas* in a porous medium;  $u$  is the density distribution and  $\Delta$  is the (negative) Laplacian.

# The Cahn-Hilliard equation

The *Cahn-Hilliard equation* is the following higher order semilinear problem

$$\begin{aligned}u'(t) + \Delta^2 u(t) + \Delta(u(t) - u^3(t)) &= 0, \quad t > 0, \\u(0) &= u_0.\end{aligned}$$

This is a phase-field or diffuse interface equation which models phase separation of a binary mixture. Here  $u$  denotes the concentration difference of the components; the sets where  $u = \pm 1$  correspond to domains of pure phases.

# Objectives

- Show existence, uniqueness and maximal regularity for the short and long time solution.
- Obtain precise information concerning the asymptotic behavior of the solution close to the singularity and show a relation between the evolution and the local geometry.
- Develop the cone calculus in the direction of the regularity theory for PDEs.

# Difficulties

- Degeneracy and several closed extensions for  $\Delta$ .
- Lack of basic PDEs-machinery (e.g. elliptic regularity, comparison principle, gradient estimates etc) and failure of standard techniques for existence and regularity theory.
- The cone calculus, at least for the case of boundary value problems, is not well developed.

# Elements of the cone calculus: Mellin-Sobolev spaces

- The Laplacian acts naturally on the scales of Mellin-Sobolev spaces  $\mathcal{H}_p^{s,\gamma}(\mathbb{B})$ ,  $s, \gamma \in \mathbb{R}$ ,  $p \in (1, \infty)$ .
- e.g. if  $s \in \mathbb{N}$ , the space  $\mathcal{H}_p^{s,\gamma}(\mathbb{B})$ ,  $\gamma \in \mathbb{R}$ ,  $p \in (1, \infty)$ , is the space of all functions  $u$  in  $H_{loc}^s(\text{int } \mathbb{B})$  such that near the boundary

$$x^{\frac{n}{2}-\gamma}(x\partial_x)^k \partial_y^\alpha (\omega(x)u(x,y)) \\ \in L^p \left( [0,1) \times \partial\mathcal{B}, \sqrt{\det[h(x)]} \frac{dx}{x} dy \right), \quad k + |\alpha| \leq s,$$

where  $\omega$  is a cut-off function on the collar part  $[0,1) \times \partial\mathcal{B}$ .

## ... closed extensions of the Laplacian

- $\Delta : C_c^\infty(\text{int } \mathbb{B}) \mapsto \mathcal{H}_p^{s,\gamma}(\mathbb{B})$ ,  $s, \gamma \in \mathbb{R}$ ,  $p \in (1, \infty)$ , as a second order  $\mathbb{B}$ -elliptic cone differential operator admits several closed extensions, namely:

$$\mathcal{D}(\underline{\Delta}_{\max}) = \mathcal{H}_p^{s+2,\gamma+2}(\mathbb{B}) \oplus \mathcal{E}.$$

- $\mathcal{E}$  is a finite dimensional space consisting of smooth functions on  $\mathbb{B}$  that in local coordinates close to  $\{0\} \times \partial\mathcal{B}$  are of the form

$$c_0(y)x^{\rho_0} + c_1(y)x^{\rho_1} \log(x), \quad (x, y) \in (0, 1) \times \partial\mathcal{B},$$

where  $c_0, c_1 \in C^\infty(\partial\mathbb{B})$ . The powers  $\rho_0, \rho_1 \in \mathbb{C}$  are determined explicitly by the metric  $h(x)$ .

- We choose

$$\mathcal{D}(\underline{\Delta}) = \mathcal{H}_p^{s+2,\gamma+2}(\mathbb{B}) \oplus \mathbb{C}.$$

## Recent results in the boundaryless case

(D) (choice of the data)

Denote by  $\lambda_1$  the greatest non-zero eigenvalue of  $\Delta_{h(0)}$  and choose

$$\frac{n}{2} + \frac{2}{q} - 2 < \gamma < \min \left\{ -1 + \sqrt{\left(\frac{n}{2} - 1\right)^2 - \lambda_1}, \frac{n}{2} \right\}$$

with

$$\frac{n}{p} + \frac{2}{q} < 1, \quad p, q \in (1, \infty).$$

Furthermore, let

$$s > \max \left\{ -1 + \frac{n}{p} + \frac{2}{q}, -\frac{2}{q} \right\}.$$

# Long time existence for solution of the PME

## Theorem (\*)

Let  $s, \gamma, p, q$  be chosen as in (D) and  $u_0 \in (\mathcal{H}_p^{s+2, \gamma+2}(\mathbb{B}) \oplus \mathbb{C}, \mathcal{H}_p^{s, \gamma}(\mathbb{B}))_{\frac{1}{q}, q}$  such that  $c_1 \leq u_0 \leq c_2$ ,  $c_1, c_2 > 0$ . Then, for each  $T > 0$  there exists a unique

$$\begin{aligned} u \in & C^1((0, T]; \mathcal{H}_p^{s, \gamma}(\mathbb{B})) \cap C((0, T]; \mathcal{H}_p^{s+2, \gamma+2}(\mathbb{B}) \oplus \mathbb{C}) \\ & \cap C^\delta([0, T]; \mathcal{H}_p^{s+2-\frac{2}{q}-2\delta-\varepsilon, \gamma+2-\frac{2}{q}-2\delta-\varepsilon}(\mathbb{B}) \oplus \mathbb{C}) \\ & \cap C^{1+\delta}([0, T]; \mathcal{H}_p^{s-\frac{2}{q}-2\delta-\varepsilon, \gamma-\frac{2}{q}-2\delta-\varepsilon}(\mathbb{B})) \\ & \cap W^{1, q}(0, T; \mathcal{H}_p^{s, \gamma}(\mathbb{B})) \cap L^q(0, T; \mathcal{H}_p^{s+2, \gamma+2}(\mathbb{B}) \oplus \mathbb{C}) \\ & \cap C([0, T]; \mathcal{H}_p^{s+2-\frac{2}{q}-\varepsilon, \gamma+2-\frac{2}{q}-\varepsilon}(\mathbb{B}) \oplus \mathbb{C}) \end{aligned}$$

for all  $\varepsilon > 0$  and  $\delta \in (0, \frac{1}{2} \min\{2 - \frac{n}{p} - \frac{2}{q}, \gamma + 2 - \frac{n}{2} - \frac{2}{q}\})$ , solving the PME on  $[0, T] \times \mathbb{B}$ , which also satisfies  $c_1 \leq u \leq c_2$ .

(\*) N. Roidos, E. Schrohe. *Smoothness and long time existence for solutions of the porous medium equation on manifolds with conical singularities*. [arXiv:1708.07542].

# Asymptotic behavior close to the singularity

- By the usual embedding of the maximal  $L^q$ -regularity space we have that

$$u \in C([0, T]; \mathcal{H}_p^{s+2-\frac{2}{q}-\varepsilon, \gamma+2-\frac{2}{q}-\varepsilon}(\mathbb{B}) \oplus \mathbb{C}) \hookrightarrow C([0, T]; C(\mathbb{B}))$$

for all  $\varepsilon > 0$ .

- By Mellin-Sobolev embedding we obtain

$$|u_{\mathcal{H}}(t)| \leq Cx^{\gamma+2-\frac{2}{q}-\frac{n}{2}-\varepsilon} \|u_{\mathcal{H}}(t)\|_{\mathcal{H}_p^{s+2-\frac{2}{q}-\varepsilon, \gamma+2-\frac{2}{q}-\varepsilon}(\mathbb{B})}, \quad t \in [0, T],$$

for all  $\varepsilon > 0$  and some constant  $C > 0$  depending on  $n$  and  $p$ , where  $u_{\mathcal{H}}$  is the Mellin-Sobolev part of  $u$ , i.e.

$u(t) = u_{\mathcal{H}}(t) \oplus c(t)$ , with  $c(t) \in \mathbb{C}$ ,  $t \in [0, T]$ .

# Smoothness for solutions of the PME

## Theorem (\*)

For the unique solution  $u$  of the PME on  $[0, T] \times \mathbb{B}$ ,  $T > 0$ , we additionally have that

$$\begin{aligned} u \in \bigcap_{\nu, \varepsilon > 0} C^1((0, T]; \mathcal{H}_p^{\nu, \gamma}(\mathbb{B})) \cap C((0, T]; \mathcal{H}_p^{\nu, \gamma+2}(\mathbb{B}) \oplus \mathbb{C}) \\ \cap C^\delta((0, T]; \mathcal{H}_p^{\nu, \gamma+2-2\delta-\frac{2}{q}-\varepsilon}(\mathbb{B}) \oplus \mathbb{C}) \\ \cap C^{1+\delta}((0, T]; \mathcal{H}_p^{\nu, \gamma-2\delta-\frac{2}{q}-\varepsilon}(\mathbb{B})) \end{aligned}$$

for each  $\delta \in (0, \frac{1}{2} \min\{2 - \frac{n}{p} - \frac{2}{q}, \gamma + 2 - \frac{n}{2} - \frac{2}{q}\})$ . Furthermore,

$$u \in \bigcap_{\nu > 0} C^k((0, T]; \mathcal{H}_p^{\nu, \gamma-2(k-1)}(\mathbb{B})) \quad \text{for all } k \in \mathbb{N}.$$

(\*) N. Roidos, E. Schrohe. *Smoothness and long time existence for solutions of the porous medium equation on manifolds with conical singularities*. [arXiv:1708.07542].

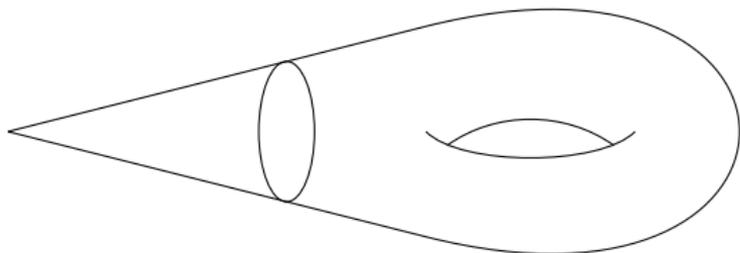
**Thank you for your attention!**

# Geometric analysis on singular spaces

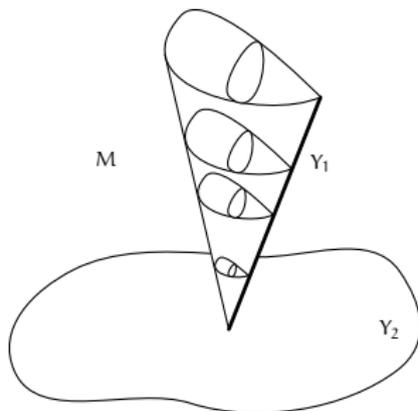
BORIS VERTMAN and MATTHIAS LESCH

## Spectral geometry, index theory and geometric flows on singular spaces

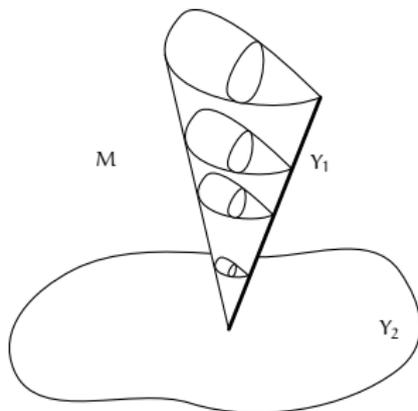
10. November 2017



# 1. Stratified spaces and fundamental questions



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- Spectral geometry on stratified spaces
- Index theory, eta and Cheeger - Gromov rho invariants
- Geometric flows, Ricci, Yamabe and mean curvature flows

## 2. Research objectives in spectral geometry

$$\zeta(s, \Delta) := \frac{1}{\Gamma(s)} \int_0^\infty t^{s-1} \left( \text{Tr} \left( e^{-t\Delta} \right) - \dim \ker \Delta \right) dt.$$

## 2. Research objectives in spectral geometry

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- heat-trace asymptotics for Laplacians on stratified spaces,
- Bergman kernel asymptotics and quantum Hall effect,
- Spectral geometry on edges with variable indicial roots,
- Cheeger-Müller Theorem on spaces with even codimension singularities.

## Some preliminary results in spectral geometry

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Theorem (jointly with Rafe Mazzeo)

Analytic torsion of an admissible edge space  $(M, g^M)$  with edge  $Y$

$$T(M, g^M) := \exp \left( \frac{1}{2} \sum_{k=0}^{\dim M} (-1)^k \cdot k \cdot \zeta'(0, \Delta_k) \right).$$

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$$T(M, g^M) := \exp \left( \frac{1}{2} \sum_{k=0}^{\dim M} (-1)^k \cdot k \cdot \zeta'(0, \Delta_k) \right).$$

- 1 exists and depends only on the leading order of  $g^M$  near  $\partial M$ ,
- 2 if  $\dim Y$  is even,  $g^M$  is even in the defining function of  $Y$ , then  $T(M, g^M)$  is independent of the choice of  $g^M$

### 3. Research objectives in index theory

$$\text{index } \mathbb{D} = \left( \int_M a_0 + \int_Y b_0 \right) - \frac{\dim \ker D + \eta(D)}{2}.$$

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- Atiyah-Patodi-Singer theorem on stratified spaces,
- Cheeger-Gromov invariant and signature of strat. spaces,
- space of bordism classes of metrics with  $\text{scal}(g) > 0$ .

## Some preliminary results in index theory

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### Theorem (jointly with Paolo Piazza)

Assume the edge space  $M$  is boundary of an edge space  $N$  and the (signature or spin) Dirac operator  $\mathbb{D}$  is of the form

$$\mathbb{D} = \sigma \left( \frac{\partial}{\partial u} + D \right),$$

near  $M = \partial N$ , satisfying spectral Witt condition.

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near  $M = \partial N$ , satisfying spectral Witt condition. Then<sup>a</sup>

- 1  $\mathbb{D}_{\text{APS}}$  is Fredholm,  $\eta(D)$  is well-defined
- 2  $\text{index } \mathbb{D} = (\int_M a_0 + \int_Y b_0) - (\dim \ker D + \eta(D))/2,$
- 3 The statement carries over to Galois coverings.

---

<sup>a</sup>under some dimensional restrictions

#### 4. Research objectives in geometric flows

$$\frac{\partial}{\partial t} g^M(t) = -2 \operatorname{Ric} (g^M(t)), \quad g^M(0) = g_0^M.$$

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$$\frac{\partial}{\partial t} g^M(t) = -2 \operatorname{Ric} (g^M(t)), \quad g^M(0) = g_0^M.$$

- Mean curvature flow on spaces with conical singularities,
- Stability of Ricci flow near Ricci flat conical metrics,
- Ricci flow of a metric with positive curvature tensor.

## Some preliminary results in geometric flows

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### Theorem (jointly with Eric Bahuaud)

Suppose  $(M, g_0)$  is an feasible edge space with  $\dim M \geq 3$  such that  $\text{scal}(g_0) < 0$  is of some Hölder regularity. Then the

volume-normalized Yamabe flow

$$\partial_t g(t) = \left( \rho(t) - \text{scal}(g(t)) \right) \cdot g(t), \quad g(0) = g_0,$$

$$\rho(g) = \text{vol}(g(t))^{-1} \int_M \text{scal}(g(t)) \, d\text{vol}_{g(t)}.$$

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$$\rho(g) = \text{vol}(g(t))^{-1} \int_M \text{scal}(g(t)) \, d\text{vol}_{g(t)}.$$

- 1 exists for all times within the space of regular edge metrics,
- 2  $g(t) \rightarrow g^*$  as  $t \rightarrow \infty$  with  $\text{scal}(g^*) \equiv \text{const} < 0$ .

THANK YOU FOR YOUR ATTENTION!

## Secondary invariants for foliations

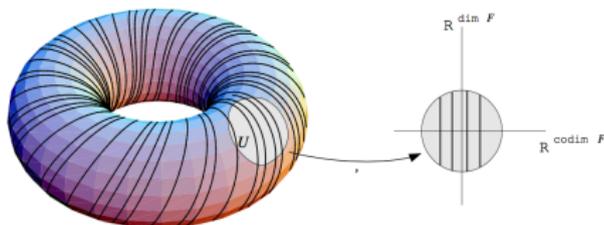
Sara Azzali (Potsdam) and Sebastian Goette (Freiburg)

Kick-Off-Meeting “Geometry at infinity”  
Potsdam, November 9-10, 2017

## Geometric setting

- A **foliation** is a decomposition of a closed manifold  $M$  into immersed submanifolds called the **leaves**. The leaves are noncompact but have bounded geometry.

*Example: a torus  $M = \mathbb{T}^k \times \mathbb{T}^k \times \mathbb{R}^k \times \mathbb{R}^k / \mathbb{Z}^k \times \mathbb{Z}^k$  with foliation  $\mathcal{F}$  given by the product of affine lines with slopes  $\alpha_1, \dots, \alpha_k \in \mathbb{R} \setminus \mathbb{Q}$*



*picture:  $k = 1$*

*If  $\alpha_i \in \mathbb{Q}$ , then  $p : M \rightarrow M/\mathcal{F} = \mathbb{T}^k$  is a proper submersion*

- Leafwise operators:** We will mainly deal with (Dirac type) operators acting leafwise on  $M$ .

*For example: the family  $D = (d_L + d_L^*)_{L \in M/\mathcal{F}}$  the Hodge-de Rham operator along the leaves  $L$*

## Primary versus secondary invariants

Let  $p: M \rightarrow B$  be a proper submersion,  $D$  the fibrewise signature operator

- Theorem [Bismut]: The heat operator  $e^{-\Delta_t^2}$  interpolates between at small time the topological index and at large time the index bundle.

$$\underbrace{\int_{M/B} L(TZ)}_{\lim_{t \rightarrow 0} \text{Str}(e^{-\Delta_t^2})} - \underbrace{\text{ch}(\text{Ker } D \ominus \text{Ker } D^*)}_{\lim_{t \rightarrow \infty} \text{Str}(e^{-\Delta_t^2})} = d \underbrace{\hat{\eta}(T^H M, g^{TZ})}_{\text{Bismut-Cheeger eta form}} \in \Omega^*(B)$$

- In the context of index theory, a **secondary invariant** is a geometric term which interpolates between two realisation of an index class.

PRIMARY	SECONDARY
index class	eta form
flat bundles classes	torsion forms

## Secondary invariants. Motivations

- **Eta** and **torsion forms** are higher analogues of the Atiyah–Patodi–Singer eta invariant and of the Ray–Singer torsion
- **Adiabatic limits**: if  $p : M \rightarrow B$  is a proper submersion, deforming the metric in the normal direction, i.e.  $g_\varepsilon = \frac{1}{\varepsilon^2} g^\perp \oplus g^{T\mathcal{F}}$ , then [Bismut–Cheeger, Dai]

$$\lim_{\varepsilon \rightarrow 0} \eta(D_{g_\varepsilon}^M) = \int_B L(TB) \wedge \hat{\eta} + \eta(D_B) + \sum_{r \geq 2} \sigma_r$$

Geometric applications:

- **Relative eta invariants** (or more generally rho classes) allow to distinguish geometric structures [Botvinnik–Gilkey, Chang–Weinberger, ...]
- **Torsion invariants** can detect exotic smooth structures on fibre bundles [Igusa–Goette]

## On foliations

- The space of leaves is replaced by the holonomy groupoid  $\mathcal{G}$   
*Example:  $\mathcal{G} = (\mathbb{R}^k \times \mathbb{R}^k \times \mathbb{T}^k) / \mathbb{Z}^k$  in the Kronecker foliation*
- The **index class** belongs to the  $K$ -theory of  $C^*(\mathcal{G})$  [Connes–Skandalis]
- Heat operator approach generalises to foliations via
  - > Haefliger forms [Heitsch, Lazarov, Benameur] (Ex:  $\Omega^*(\mathbb{T}^k)$ )
  - > Noncommutative forms [Lott, Gorokhovsky] (Ex:  $\Omega^*(\mathbb{T}^k, \mathcal{B}_{\mathbb{Z}^k}^\infty)$ )
- Theorem [Benameur–Heitsch]: in the Haefliger setting, if the Novikov–Shubin invariants are larger than  $\frac{1}{2} \text{codim}(\mathcal{F})$ , then
 
$$\lim_{t \rightarrow \infty} \text{Str} e^{-\mathbb{A}_t^2} = \text{ch}(P_{\text{Ker } D})$$
- **eta** and **torsion forms** can be defined under similar (strong) assumptions

## Large time limit, index class versus kernel bundle

Theorem (A., Goette, Schick 2013): in the  $L^2$ -setting of a family of normal coverings and for  $D = d_{\bar{z}} + d_{\bar{z}}^*$  it is enough to require positive Novikov–Shubin invariants

- $\lim_{t \rightarrow \infty} \text{Str}_{\Gamma}(e^{-\mathbb{A}_t^2}) = \text{ch}_{\Gamma}(P_{\text{Ker } D})$
- $L^2$ -eta and -torsion forms are well defined.

For more general operators:

Example [Benameur, Heitsch, Wahl]: *on the Kronecker foliation*  $(\mathbb{T}^k \times \mathbb{T}^k, \mathcal{F})$ ,  $d_L + d_L^*$  with a nonflat twist,

$$\text{ch}(\text{Ind } D) \neq 0 = \text{ch}(P_{\text{Ker } D})$$

Yet, the heat operator still represents  $\text{ch}(\text{Ind } D)$ .

Question: Can one compute the large time limit of  $\text{Str } e^{-\mathbb{A}_t^2}$  in a generalised sense so that it captures the whole global informations?

## Questions

On the large time limit of  $e^{-\mathbb{A}_t^2}$ :

1. Can one interpret and compute the large time limit of  $\text{Str } e^{-\mathbb{A}_t^2}$  in a suitable “generalised” sense so that it captures the whole index informations?
2. In the particular case  $D = d + d^*$ , can Haefliger eta and torsion forms be defined without extra regularity assumption on the spectrum?

Noncommutative aspects:

3. Can one provide refinements using noncommutative forms?

Adiabatic limits:

4. On a Riemannian foliation, consider the deformation  $g_\varepsilon = \frac{1}{\varepsilon^2} g^\perp \oplus g^{T\mathcal{F}}$ .  
What is  $\lim_{\varepsilon \rightarrow 0} \eta(D_{g_\varepsilon}^M)$ ?

Torsion forms and differentiable structures:

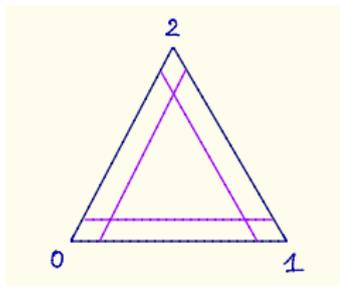
5. Once a Haefliger torsion form is defined, does it make sense to generalise the *arc de triomph* construction of Igusa–Goette to foliations? Can it be used to detect “exotic” smooth foliations on a given  $M$ ?

## Methods

1. Can one compute the large time limit of  $\text{Str}(e^{-A_t^2})$  in a suitable “generalised” sense so that it captures the whole global informations?  
On the Kronecker foliation above, the heat operator can easily be written. We are currently investigating the relation between its large time limit and generalised eigenvalues.
2. Define Haefliger eta and torsion forms with minimal assumptions  
If we can find a “von Neumann type” estimate for the Haefliger trace, then methods from [AGS] apply: terms in the Volterra expansion

$$\int_{\Delta^n} e^{-s_0 t D^2} R_t e^{-s_1 t D^2} \dots R_t e^{-s_n t D^2} d^n(s_0, \dots, s_n)$$

can be estimated via a splitting of the simplices in small/large variables pieces.



## Methods

3. Can one provide refinements using noncommutative forms? Using the noncommutative approach à la Gorokhovsky-Lott, there are some partial results [So, Su 2017] for noncommutative torsion forms using generalisations of our work [AGS].
4. What is  $\lim_{\varepsilon \rightarrow 0} \eta(D_{g_\varepsilon}^M)$ ?  
On Riemannian foliations. Start from the the works by Alvarez-Lopez and Kordyukov on adiabatic limits.

Thank you for your attention!

# Spectral Analysis of Sub-Riemannian Structures

Wolfram Bauer,  
Abdellah Laaroussi

Leibniz Universität, Hannover

Kick-Off meeting, SPP 2026 - Geometry at infinity

Potsdam, 09.-10. November 2017



1. Sub-Riemannian structures and hypoelliptic operators

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2. Existence and construction of SR geometries

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4. Heat kernel of (sub)-Laplacians on forms and SR limit.

# Introduction: Sub-Riemannian Geometry

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## Definition: sub-Riemannian manifold

A SR-manifold is a triple  $(M, \mathcal{H}, \langle \cdot, \cdot \rangle)$ , where

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- $M$  is a smooth manifold (without boundary),  $\dim M \geq 3$  and  $\mathcal{H} \subset TM$  is a vector distribution.
- the bundle  $\mathcal{H}$  is **bracket generating** of rank  $k < \dim M$ , i.e.

$$\text{Lie}_x \mathcal{H} = T_x M$$

with inner product  $\langle \cdot, \cdot \rangle_x$  on  $\mathcal{H}_x$  where  $x \in M$ .

# Horizontal connectivity

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## Example

A curve  $\gamma : [0, T] \rightarrow M$  is called **horizontal** if  $\dot{\gamma}(t) \in \mathcal{H}_{\gamma(t)}$  a.e.

$$l(\gamma) := \int_0^T \sqrt{\langle \dot{\gamma}(t), \dot{\gamma}(t) \rangle_{\gamma(t)}} dt = \text{length of } \gamma.$$

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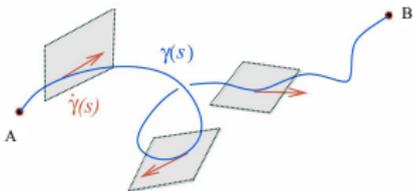
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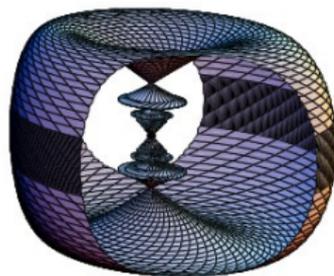
## Theorem, (Chow-Rashevskii, 1938/39)

Let  $M$  be a connected SR-manifold.  $A, B \in M$  can be joint by a **horizontal path**.  $M$  becomes a metric space with distance:

$$d_{CC}(A, B) := \inf \left\{ \ell(\gamma) : \gamma(0) = A, \gamma(1) = B, \gamma(t) \text{ horizontal} \right\}.$$



Distribution and horizontal curve



Front of SR geodesics at time T  
(picture by: U. Boscain, D. Barilari)



**The falling cat:**

A connectivity  
problem  
in SR geometry

## From Riemannian to SR-geometries

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Consider a family of Riemannian metrics  $g_t := t^{-1}\delta_t^*(g)$ , where  $g$  is left-invariant on  $\mathbb{H}^3$ . Then

$$d_{g_t} \longrightarrow d_{CC} \quad \text{and} \quad t^{-1}\mathbb{H}^3 \longrightarrow (\mathbb{H}^3, d_{CC}) \quad (t \rightarrow 0).$$

(Convergence in the **Gromov-Hausdorff sense**).

# Sub-Laplacian

Let  $(M, \mathcal{H}, \langle \cdot, \cdot \rangle)$  be a SR-manifold and

$[X_i : i = 1, \dots, m] = \textit{local frame}$  for  $\mathcal{H}$ .

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Consider a smooth measure  $\omega$ , a vector field  $X$  and a smooth function  $\varphi$  on  $M$ . Define

$$\mathcal{L}_X(\omega) = \text{div}_\omega(X)\omega \quad (\omega\text{-divergence})$$

$$\left\langle \underbrace{\text{grad}_{\mathcal{H}}(\varphi)}_{\in \mathcal{H}_x}, v \right\rangle_x = d\varphi(v), \quad v \in \mathcal{H}_x \quad (\textit{horizontal gradient}).$$

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Choose  $\omega$  e.g. as the **Popp volume** on an equi-regular SR manifold.

# Hypoellipticity of "sum-of-squares operators"

Theorem (L. Hörmander, 1967)

Let  $\Omega \subset \mathbb{R}^n$  be open. Consider  $C^\infty$ -vector fields  $[X_0, X_1, \dots, X_m]$  on  $\Omega$  with

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$\text{rank Lie}[X_0, X_1, \dots, X_m] = n, \quad x \in \Omega$  (Hörmander condition).

Then the second order differential operator

$$\mathcal{L} := \sum_{j=1}^m X_j^2 + X_0 + c \quad c \in C^\infty(\Omega)$$

is *hypoelliptic*.

# Problems (Selection)

# 1. Task: Existence and Construction of SR-geometries

## Problem

*Which "classical manifolds" (e.g. spheres, Lie groups, symmetric spaces)  $M$  carry a SR-structure? What is the Popp volume? What is the Sub-Laplacian?*

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**Example:** Various cases are known in the literature, e.g.:

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*Trivializable SR-structures (i.e.  $\mathcal{H}$  is trivial) on Euclidean spheres  $\mathbb{S}^n$  only exist in dimensions  $n = 3, 7, 15$ . On  $\mathbb{S}^7$  there are such structures of rank 4, 5, and 6.*

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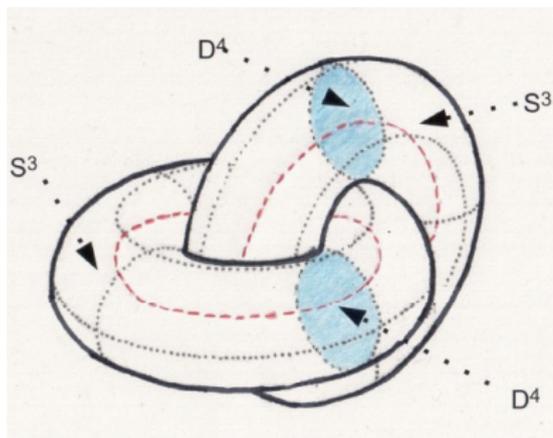
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## Question:

How about the existence of SR-structures on *exotic 7-spheres*?

# Exotic 7-sphere



**Exotic 7-Sphere of type (2,-1):**

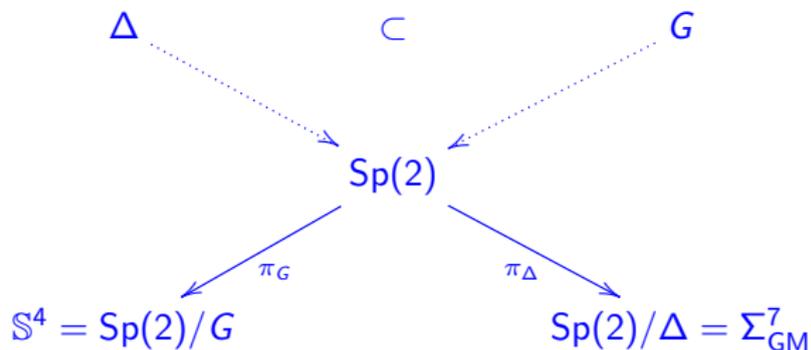
Clue 2 copies of  $S^3 \times D^4$

along their boundaries via

$$\begin{aligned} S^3 \times \partial D^4 &\longrightarrow S^3 \times \partial D^4 \\ (u,v) &\longmapsto (u, u^2 v u^{-1}) \end{aligned}$$

# Gromoll-Meyer exotic 7-Sphere $\Sigma_{GM}^7$

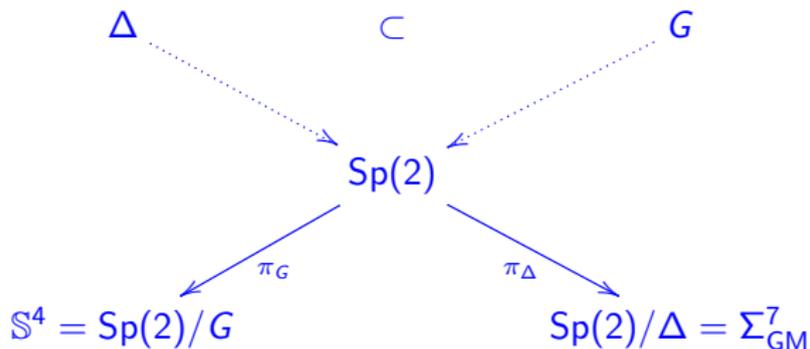
Realization as the base of a  $\Delta$ -principal bundle:



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Theorem (W. B., K. Furutani, C. Iwasaki, 2016)

The bi-quotient of compact groups induces a **codim-3-SR-structure** on the GM-realization  $\Sigma_{GM}^7$  of a type  $(2, -1)$  exotic 7-sphere.

# Problems to be considered

*What is next?*

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## II. Spectral analysis of $\Delta_{\text{sub}}$ on pseudo- $H$ -type nilmanifolds

With  $r, s \in \mathbb{N}_0$  consider:

$\mathcal{N}_{r,s} := V \oplus_{\perp} \mathbb{R}^{r,s} = \text{"pseudo-}H\text{-type Lie algebra"}$ ,

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### Definition

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### Problem:

Systematically construct and classify all **isospectral** (w.r.t. the Sub-Laplacian) but **non-diffeomorphic** pseudo- $H$ -type nilmanifolds.

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Theorem (W. B., K. Furutani, C. Iwasaki, 2015)

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From the algebraic - geometric point of view:



K. Furutani, I. Markina *Complete classification of pseudo H-type Lie algebras: I.* *Geom. Dedicata* 190 (2017), 23?51

# Analytic objects in the sub-Riemannian limit

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Theorem (W.B., A. Froehly, et. al.)

*There is an **explicit integral form** for the heat kernel of the Laplacian acting on **1-forms** over the Heisenberg groups.*

**Thank you for your attention!**

# Analysis on spaces with fibred cusps

Daniel Grieser

(Carl von Ossietzky Universität Oldenburg)

10.11.2017

Kick-off meeting SPP *Geometry at infinity*

## Goal of project

To develop analytic tools for analysing the **natural geometric differential operators** associated to **fibred cusp metrics** and their variants and to apply these tools to questions of **global analysis and spectral theory**.

## Definition

A **fibred cusp metric** on a compact manifold with boundary  $M$  is given by

- A fibration of the boundary:  $F \hookrightarrow \partial M \xrightarrow{\phi} B$
- A Riemannian metric on the interior  $\overset{\circ}{M}$  which in a neighborhood  $U \equiv [0, \varepsilon)_x \times \partial M$  of  $\partial M$  has the form (with  $a \in \mathbb{N}$ )

$$g = \frac{dx^2}{x^2} + g_B + x^{2a} g_F$$

$g_B$  = pull-back of a Riemannian metric on  $B$

$g_F$  = a 'fibre metric' for  $\phi$  (i.e. a symmetric 2-tensor on  $\partial M$  which restricts to a metric on each fibre of  $\phi$ ).

- The boundary  $\partial M$ , i.e.  $x = 0$ , corresponds to 'infinity'
- Fibres collapse as  $x \rightarrow 0$

$$g = \frac{dx^2}{x^2} + g_B + x^{2a} g_F$$

- Conformally equivalent:  $x^{-2a}g$  (**fibred boundary metrics**),  $x^2g$
- **Multiple fibred cusp metrics:**

For a *stack* of fibrations  $\partial M = B_m \xrightarrow{\phi_m} B_{m-1} \rightarrow \cdots \xrightarrow{\phi_1} B_0$

$$g = \frac{dx^2}{x^2} + g_{B_0} + x^{2a_1} g_{F_1} + \cdots + x^{2a_m} g_{F_m}$$

$g_{B_0}$  = pull-back of a Riemannian metric on  $B_0$

$g_{F_i}$  = pull-back of a fibre metric for  $\phi_i$

$a_1 < a_2 < \cdots < a_m$  are natural numbers.

- **Iterated fibred cusp/boundary metrics:**

$M$  = a manifold *with corners*, each boundary hypersurface equipped with a fibration (or stack of fibrations), metric reflects these

# Where such metrics arise

- *Locally symmetric spaces*: with  $r = -\log x$ :

$$g = dr^2 + \phi^* g_B + e^{-2ar} h$$

or iterated multiple fibred cusp metrics,  $M = \text{Borel-Serre compactif.}$

- *Asymptotically conic (AC)*: fibred boundary with  $F = \text{pt}$  and  $a = 1$   
E.g.: asympt. Euclidean (AE), asympt. locally Euclidean (ALE):

$$\text{AE} \subset \text{ALE} \subset \text{AC} \subset \{\text{fibred boundary metrics}\}$$

General relativity (AE); solitons in Ricci flow, gravitational instantons (complete hyper-Kähler 4-manifolds, ALE).

- Quasi-asympt. conic (QAC)  $\supset$  QALE: iterated fibred bd. metrics.  
E.g.: natural Kähler metric on the Hilbert scheme  $\text{Hilb}_0^n(\mathbb{C}^2)$
- Moduli space of magnetic monopoles: iterated fibred boundary metric.
- Two domains in  $\mathbb{R}^n$ , tangent to order  $a$ : metric of complement is  $x^2 g$

# Main analytic tool: Pseudodifferential calculus

- $\Psi$ DO calculus: systematic tool for analyzing *elliptic operators*
- Also useful for studying *heat kernel*
- $\dot{M}$  noncompact  $\rightsquigarrow$  need methods of singular analysis, e.g. *geometric microlocal analysis* (Melrose)

# $\Psi$ DO calculus for fibred cusps

Geometric differential operators  $P$  for fibred cusp metrics (near  $\partial M$ ):

$$P = x^{-ka} \sum_{l+|\alpha|+|\beta|\leq k} c_{l\alpha\beta}(x, y, z) (x^{1+a}\partial_x)^l (x^a\partial_y)^\alpha \partial_z^\beta$$

$y \in \text{base}$ ,  $z \in \text{fibre}$ ,  $c_{l\alpha\beta}$  smooth up to  $x = 0$ .

Degeneration  $x^{1+a}\partial_x$ ,  $x^a\partial_y \rightsquigarrow$  need adapted  $\Psi$ DO calculus.

## Ellipticity

$P$  elliptic :  $\iff$  (rescaled) principal symbol of  $P$  is invertible

$P$  fully elliptic :  $\iff$   $P$  is elliptic, and 'normal operators' are invertible

Example:  $D_{\text{GB}} = d + d^*$  (Gauß-Bonnet) is elliptic, normal op. =  $D_{\text{GB}}^{\text{fibres}}$

## State of the art

Small calculus (for fully elliptic ops.): Mazzeo-Melrose, G-Hunsicker, ...

Large calculus (for elliptic ops.): so far only for a single fibration and under *constant rank assumption* on the boundary operators (Vaillant, G-H)

# Objectives: $\Psi$ DO calculi

- Construct large calculus for (iterated) multiple fibred cusps, under constant rank assumption
- Construct heat calculus/heat kernel
- Extend to non-constant rank
- Apply to studying mapping properties (asymptotics of solutions, Fredholmness of elliptic operators etc.)

# Objectives: Spectral theory, global analysis

- Study analytic continuation of resolvent, generalized eigenfunctions for (iterated) multiple fibred cusp metrics
- Study spectral invariants for these spaces, or for families of compact spaces degenerating to them
- Study  $L^2$  cohomology for these spaces

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# Geometric operators on a class of manifolds with bounded geometry

Bernd Ammann (Regensburg)  
Nadine Große (Freiburg)

09/10.11.17, Kick-off Meeting, Potsdam



# Main interests

Study geometric operators  $P$  on manifolds with boundary and with bounded geometry.

Main examples: Dirac operator, Laplace-Beltrami operator, Hodge-Laplacian, conformal Laplacian

- ▶ Understand  $L^p$ -spectra
- ▶ Boundary value problems and [index theory on compact manifolds with corners](#) and on non-compact complete manifolds with controlled geometry at infinity.
- ▶ Understand the relation of the [geometry at infinity and spectral properties](#).

# Motivations

- ▶ Surgery problems in **conformal geometry** require to understand the spectrum of

$$P : W^{k,p} \subset L^p(\mathbb{M}_c := \mathbb{H}_c^\ell \times \mathbb{S}^n) \rightarrow L^p(\mathbb{M}_c)$$

$k = 1$  Dirac operator  
 $k = 2$  conformal Laplacian

hyp. space,  $\text{sec} = -c^2$

conformally interpolates between  
 $\mathbb{M}_0 = \mathbb{R}^\ell \times \mathbb{S}^n$  and  
 $\mathbb{M}_1 = \mathbb{R}^{\ell+n} \times (\mathbb{R}^\ell \times \{0\})$

## Objectives:

- ▶ Solving conformally covariant partial differential equations on  $\mathbb{M}_c$ .
- ▶ Yamabe (type) invariants of  $\mathbb{M}_c$ , and Yamabe (type) problems on stratified spaces.

# Motivations

- ▶ Pseudodifferential **boundary value problems** on domains with *piecewise smooth boundaries*.

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- ▶ Pseudodifferential **boundary value problems** on domains with *piecewise smooth boundaries*.
- ▶ Spectrum of the Dirac operator and perturbations

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## Examples

- ▶ asympt. cylindrical manifolds
- ▶ asympt. hyperbolic manifolds
- ▶ asympt. euclidean manifolds

# $L^p$ -spectra for Dirac operators on Lie manifolds

**Goal:** Determine the  $L^p$ -spectrum of the Dirac operator on Lie manifolds with a compatible metric ( $\rightsquigarrow$  bounded geometry)

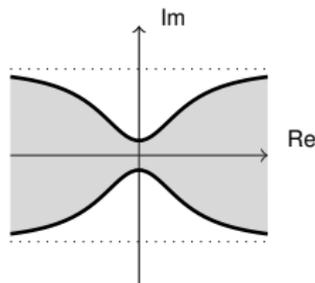
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# $L^p$ -spectra for Dirac operators on Lie manifolds

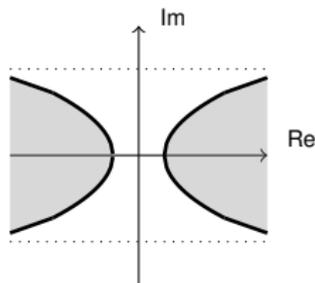
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- ▶ Note that in general the spectrum depends on  $p$ 
  - e.g. for the  $\mathbb{H}_{c>0}^\ell \times \mathbb{S}^n$  from before:

$$\frac{n}{2} < (\ell - 1) \left| \frac{1}{p} - \frac{1}{2} \right|$$



$$\frac{n}{2} > (\ell - 1) \left| \frac{1}{p} - \frac{1}{2} \right|$$



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## Main steps to study

- ▶ **Decay estimates** for the Green function  $\rightsquigarrow$  parametrix
- ▶ **Essential  $L^p$ -spectrum for geometric differential operators**  
 $\rightsquigarrow$  decomposition principle for  $L^p$ -spaces/ Weyl sequences.
- ▶ **Relations to the  $L^p$ -spectrum of limiting geometries**
- ▶  **$L^p$ -index theorems**  
 $p$ -dependence of the kernel? Contributions at infinity? On manifolds with boundary?

## Spectral density for geometric operators

- ▶ *Objective:* Understand essential/absolutely continuous spectra by approximation via discrete spectra

## Spectral density for geometric operators

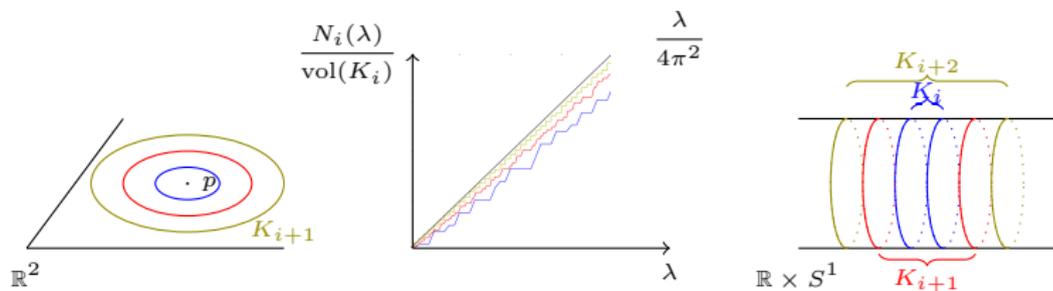
- ▶ *Objective:* Understand essential/absolutely continuous spectra by approximation via discrete spectra
  
- ▶  $K_i$  compact exhaustion of  $M^n$ .

$$\text{Spectral density} := \frac{d}{d\lambda} \frac{N_i(\lambda)}{\text{vol}(K_i)}$$

$$N_i(\lambda) := \#\{\text{eigenv. } \leq \lambda \text{ of } \Delta \text{ on } K_i \text{ w.r.t. Dirichlet boundary conditions}\}$$

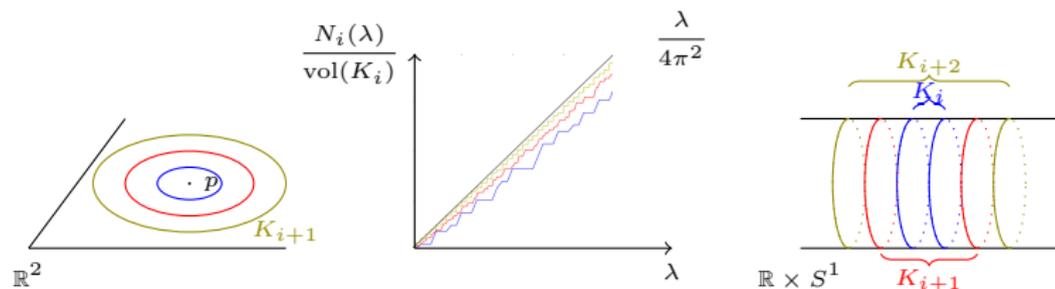
# Spectral density for geometric operators

*Motivating example:*



# Spectral density for geometric operators

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$\Delta$  on  $\mathbb{R}^n$       Fourier transform       $\rightsquigarrow$        $|\xi|^2$

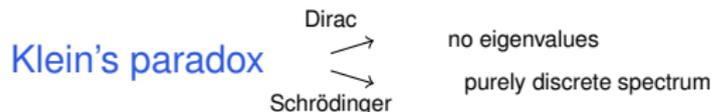
$$(f(\xi), |\xi|^2 g(\xi)) = \int_{\mathbb{R}^n} |\xi|^2 f(\xi) g(\xi) d\xi$$

$$\stackrel{\text{spher. coord.}}{=} (r=\sqrt{\lambda}, \phi) \int_{\mathbb{R}} \lambda \int_{S^{n-1}} f(\xi) g(\xi) d\omega_{S^{n-1}} \lambda^{n/2-1} d\lambda$$

# Spectral density for geometric operators

*Main objects of study:*

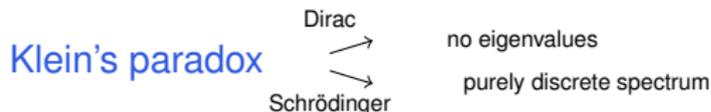
- ▶ Existence and (in-)dependence of compact exhaustion
- ▶ Different boundary conditions for (Dirac) operators and spectral density
- ▶ Use potentials instead of boundary conditions
  - ▶ Some boundary conditions fit into this picture, e.g. Dirichlet – infinite potential outside
  - ▶ Problem to take into account for Dirac: electrostatic harmonic oscillator potential



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  - ▶ Problem to take into account for Dirac: electrostatic harmonic oscillator potential



## *Methods:*

- ▶ Generalized eigenfunctions ← decay estimates
- ▶ Boundary value problems, perturbations

**Thank you for your attention.**

# Duality and the coarse assembly map

Alexander Engel, Christopher Wulff

University of Regensburg, University of Göttingen

SPP 2026 Kick-Off Meeting, Potsdam, November 2017



# Coarse assembly and co-assembly

Let  $M$  be a coarse space,  $A \subset M$ .

Coarse assembly map (Higson–Roe):

$$\mu : KX_*(M, A) \rightarrow K_*(C^*(M, A))$$

Coarse co-assembly map (Emerson–Meyer):

$$\mu^* : K_{1-*}(c(M, A)) \rightarrow KX^*(M, A)$$

Duality in the sense that there are pairings compatible with  $\mu, \mu^*$ :

$$\langle \mu(x), y \rangle = \langle x, \mu^*(y) \rangle$$

# Cup and cap products

Already constructed (W. '16):

- $K_*(\mathfrak{c}(M, A))$  is a ring (cup product  $\cup$ )
- $K_*(C^*(M, A))$  is a module over  $K_*(\mathfrak{c}(M, A))$  (cap product  $\cap$ )
- if  $A$  is a coarse deformation retract of  $M$ , a secondary ring structure

$$\cup : KX^i(M, A) \otimes KX^j(M, A) \rightarrow KX^{i+j-1}(M, A)$$

such that  $\mu^* : (K_{1-*}(\mathfrak{c}(M, A)), \cup) \rightarrow (KX^*(M, A), \cup)$  is a ring homomorphism.

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We plan to complete the picture by constructing a secondary cap product

$$\cap : KX_i(M, A) \otimes KX^j(M, A) \rightarrow KX_{i-j+1}(M, A)$$

and proving that

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# Applications of these structures

Already known (W. '16): Certain coarse index formulas of the form

$$\text{coarse-ind}(D \otimes E) = \text{coarse-ind}(D) \cap [E]$$

exist for special hermitian vector bundles  $E$  with class  $[E] \in K_*(\mathfrak{c}(M, A))$ .

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Possible further application:

- Coarse Poincaré duality.
- Construct further counterexamples to surjectivity of  $\mu$  by capping existing ones  $x \in K_p(C^*M)$  with classes  $c \in K_q(\mathfrak{c}(M))$ .

# Index theory on Lorentzian manifolds

Institut für Mathematik  
Universität Potsdam

9 November 2017

SPP “Geometry at Infinity” kickoff meeting  
Potsdam, Germany

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Boundary value problems for  $D$  “live” in:

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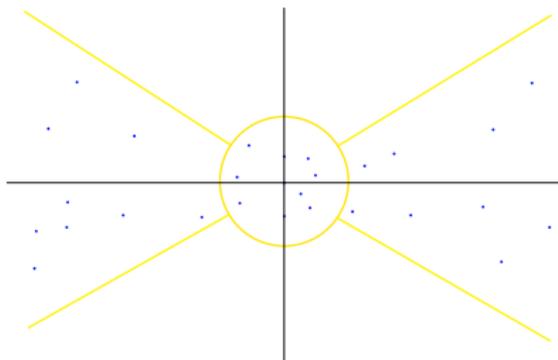
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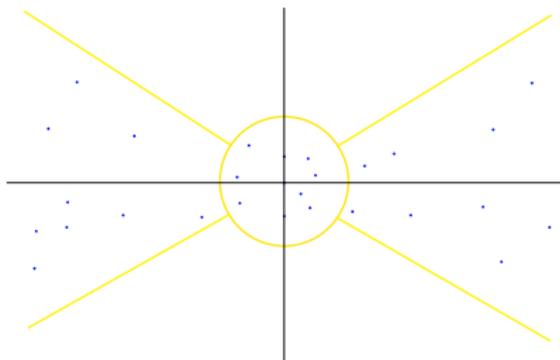
$$\check{H}(A) = \chi_{(-\infty, 0]}(A)H^{\frac{1}{2}}(A) \quad \bigoplus \quad \chi_{(0, \infty)}(A)H^{-\frac{1}{2}}(A),$$

⊛ Rarita-Schwinger  $D$  does not give rise to a symmetric  $A$ .

Via ellipticity, spectrum of  $A$ :



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Spectral projectors  $\chi^+(A)$  and  $\chi^-(A)$ ,

$$\check{H}(A) = \chi^-(A)H^{\frac{1}{2}}(A) \oplus \chi^+(A)H^{-\frac{1}{2}}(A).$$

## Future/further directions

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  - bounded geometry on  $\Sigma$ ;
  - decay conditions at spatial infinity;
- ☠️  $\Sigma$  is just a Lipschitz boundary.

# References I

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# Willmore functional and Lagrangian surfaces

Ernst Kuwert

Guofang Wang

University of Freiburg

SPP2026 Kickoff Meeting, Potsdam

# Willmore functional and Willmore surfaces

Willmore functional  $f : \Sigma \rightarrow \mathbb{R}^n$  an immersion

$$\mathcal{W}(f) = \frac{1}{4} \int_{\Sigma} |H|^2 d\mu_f,$$

The variation formula ( $V = \partial_t f$ ):

$$\frac{d}{dt} \mathcal{W}(f) = \frac{1}{2} \int_{\Sigma} \langle W(f), V \rangle d\mu_f,$$

$$W(f) = \Delta^{\perp} H + g^{ik} g^{jl} A_{ij}^{\circ} \langle A_{kl}^{\circ}, H \rangle,$$

$f : \Sigma \rightarrow \mathbb{R}^n$  Willmore surface, if it is a critical point of  $\mathcal{W}$ , i.e.

$$\Delta^{\perp} H + Q(A^{\circ})H = 0,$$

where  $Q(A^{\circ})H = g^{ik} g^{jl} A_{ij}^{\circ} \langle A_{kl}^{\circ}, H \rangle$ .

There are many interesting results in the study of Willmore surfaces and Willmore flow. I just mention some of them:

Analytic aspects: Kuwert-Schätzle, Rivière, Kuwert-Li, Lamm, Metzger . . .

Geometric aspects: Bryant, Pinkall, . . .

[Willmore conjecture](#) proved by Marques-Neves (2012)

# Lagrangian surfaces

Let  $\mathbb{C}^2 = \mathbb{R}^4$  with the standard metric, and the symplectic structure  $(z_i = x_i + \sqrt{-1}y_i)$

$$\omega = \sum_{i=1,2} dx_i \wedge dy_i.$$

A surface  $\Sigma \subset \mathbb{C}^2$  is **Lagrangian surface** if one of the following equivalent conditions holds:

- (1)  $\omega$  restricted to  $\Sigma$  is zero;
- (2)  $JT\Sigma = N\Sigma$ ;

# Examples

(a) For example,  $P = \{(x_1, x_2, 0, 0)\}$  is a Lagrangian plane.

(b) **Whitney sphere**: (simplest) Lagrangian immersed sphere,

$$\mathbb{S}^2 \ni (x, y, z) \mapsto \frac{1}{1+z^2}(x(1+iz), y(1+iz)) \in \mathbb{C}^2$$

(c) **Clifford torus**  $\mathbb{S}^1(\sqrt{2}) \times \mathbb{S}^1(\sqrt{2})$  is a Lagrangian surface

(d) **Lagrangian graphs**:  $\{(x_1, x_2, h_1(x_1, x_2), h_2(x_1, x_2))\}$  is Lagrangian, if  $\exists h : \mathbb{R}^2 \rightarrow \mathbb{R}$  with  $\nabla h = (h_1, h_2)$ .

(e) Cotangent bundle.

By Gromov, only embedded closed Lagrangian surfaces are tori.

1. Schoen-Wolfson's work on Lagrangian minimal surfaces (since 2000).
2. Minicozzi (1993) initiated the study of the Willmore functional among Lagrangian immersions. He proved the existence of smooth minimizers of the Willmore functional among closed Lagrangian tori in  $\mathbb{C}^2$  and proposed a conjecture.

**Lagrangian Willmore Conjecture.** *The Willmore energy of a Lagrangian tori in  $\mathbb{C}^2$  is at least  $2\pi^2$  and the Clifford torus, which is a Lagrangian torus in  $\mathbb{C}^2$ , minimizes the Willmore energy among Lagrangian tori.*

1. Kuwert and his colleagues' work on Willmore surfaces and Willmore flow.

2. Y. Luo and Wang's work (2015) on Hamiltonian Willmore surfaces and its flow .

**Hamiltonian Willmore surface** is a critical point of the Willmore functional under Hamiltonian variations, i.e.

$$\operatorname{div}(JW(f)) = 0$$

$$\frac{\partial}{\partial t} f_t = -J\nabla \operatorname{div}(JW(f_t)), \quad \forall t \in I.$$

Characterizations for the Whitney sphere by Castro-Urbano and Ros-Urbano:

$\mathcal{W}(\Sigma) \geq \mathcal{W}(\mathbb{S}_W) = 8\pi$  for any Lagrangian sphere  $\Sigma$ . Equality holds if and only if  $\Sigma$  is a Whitney sphere.

Moreover,  $\Sigma$  is a Whitney sphere if and only if it holds

$$\check{A}(X, Y) := A(X, Y) - \frac{1}{n+2} \{g(X, Y)H + g(JX, H)JX + g(JY, H)JY\} = 0,$$

**Problem 1. (Optimal rigidity)**  $\exists \delta_0 > 0, \forall \delta \in (0, \delta_0)$  and every Lagrangian sphere  $f \in W_L^{2,2}(\mathbb{S}^2, \mathbb{C}^2)$  satisfying

$$\mathcal{W}(f) \leq 8\pi + \delta, \quad \text{or equivalently,} \quad \|\check{A}\|_{L^2} \leq \delta,$$

$f(\mathbb{S}^2)$  should be close to a Whitney sphere in a suitable sense?

De Lellis and Müller: if  $\int_{\Sigma} |\mathring{A}|^2$  is small, then the surface  $\Sigma$  is closed to a round sphere in a suitable sense.

**Problem 2. (Rigidity)** *Does there exist a constant  $\delta_0 > 0$  such that every HW surface with  $\mathcal{W} \leq 8 + \delta$  is a Whitney sphere  $\mathbb{S}_W$ ?*

**Problem 3. (Blow-up, Singularities)** *Is there a blow-up analysis for HW surfaces? Is the removability of point singularities of HW surfaces true?*

**Problem 4. (Blow-up)** *Is there a blow-up analysis for the Hamiltonian Willmore flow?*

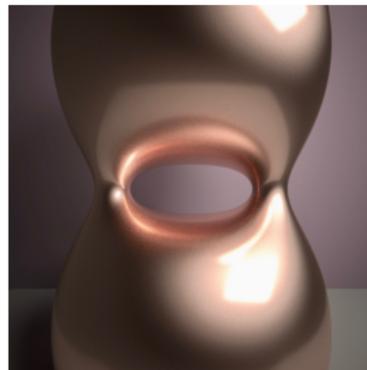
**Problem 5. (Convergence)** *Is there a stability result for flow near the Clifford torus? Does the flow with an initial Lagrangian surface near the Whitney sphere converge to a Whitney sphere?*

**Problem 6. (Convergence)** *Use the Hamiltonian Willmore flow to reprove the Minicozzi's existence result: Among Lagrangian tori there exists a Lagrangian torus with least Willmore functional.*

Thank you very much!

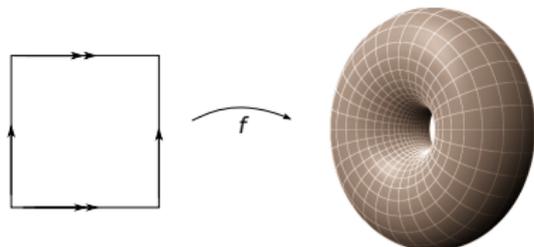
# Minimizers of the Willmore energy with prescribed rectangular conformal class

Lynn Heller  
Potsdam, November 2017



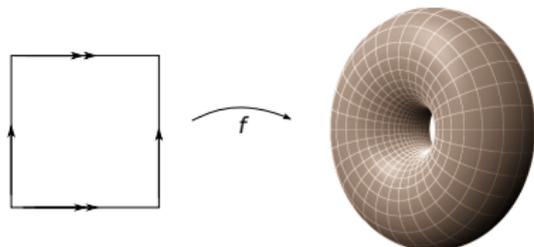
# Problem

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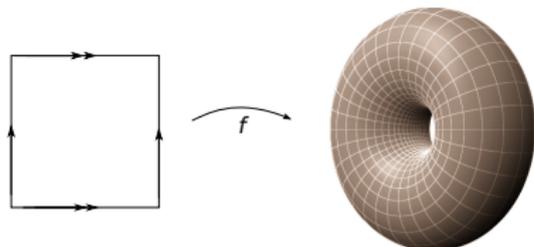
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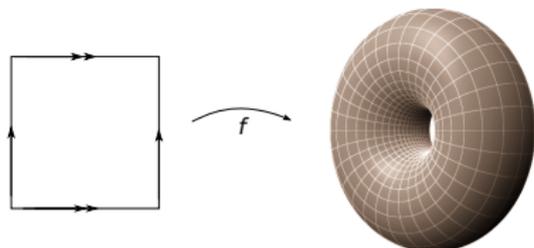
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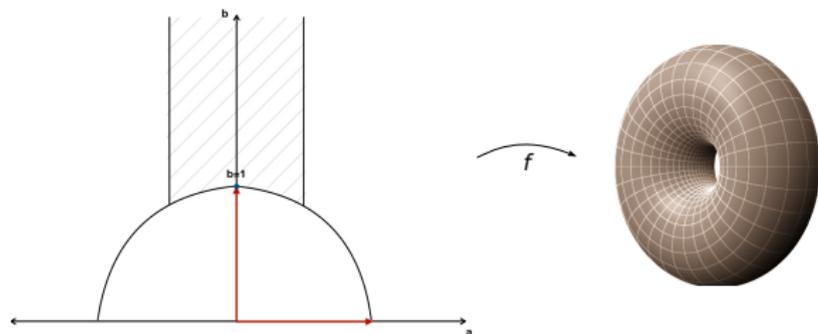


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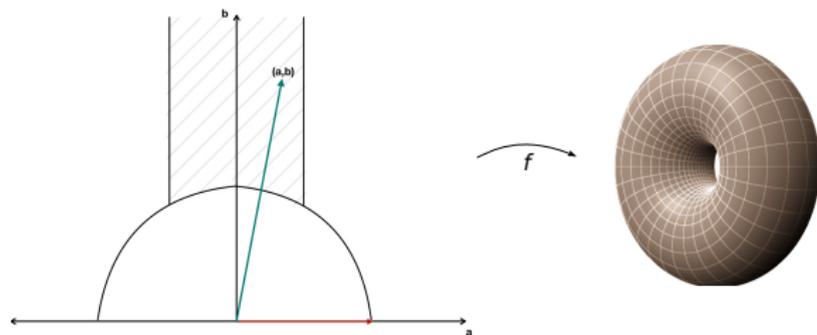


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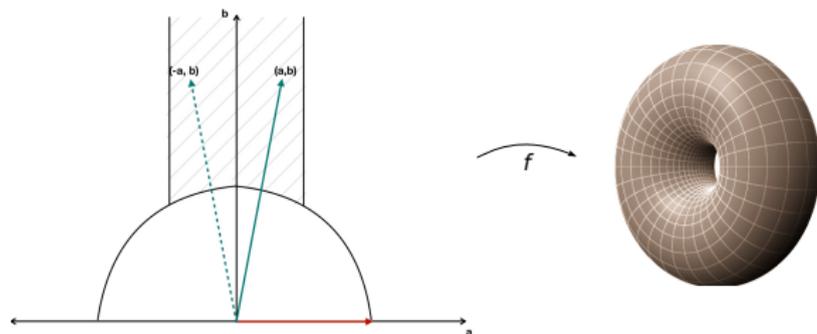


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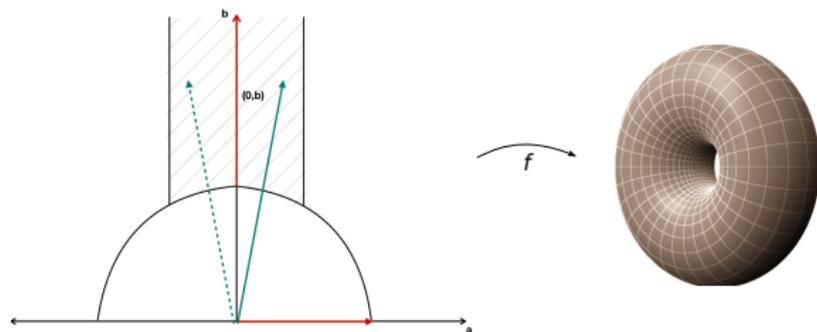


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- ▶ The Euler-Lagrange equation is degenerate if and only if the surface is isothermic. For tori this is equivalent to (locally) CMC in a space form [Richter '97].

# State of the Art

Existence:

- ▶ A minimizer of the CW problem exist if the infimum energy in the conformal class is below  $8\pi$  [Kuwert-Schätzle, '13]

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- ▶ CW minimizers in an open neighborhood in Teichmüller space near the Clifford torus are equivariant. The minimal energy is continuous but not  $C^1$  at rectangular conformal classes. [H.-Ndiaye, '17]



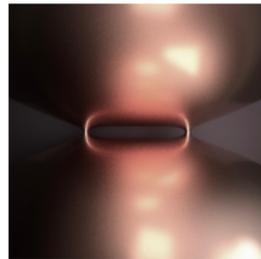
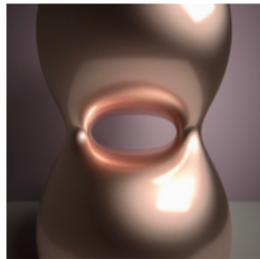
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[Kilian - Schmidt -Schmitt '10]



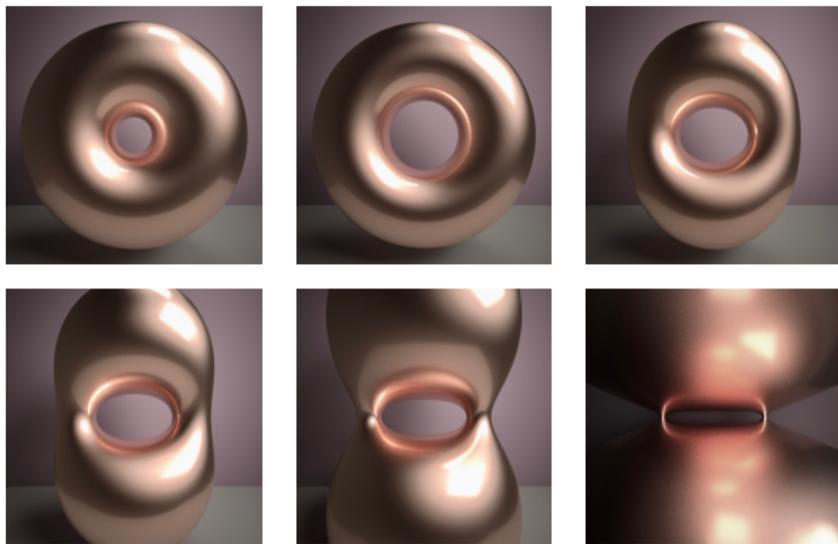
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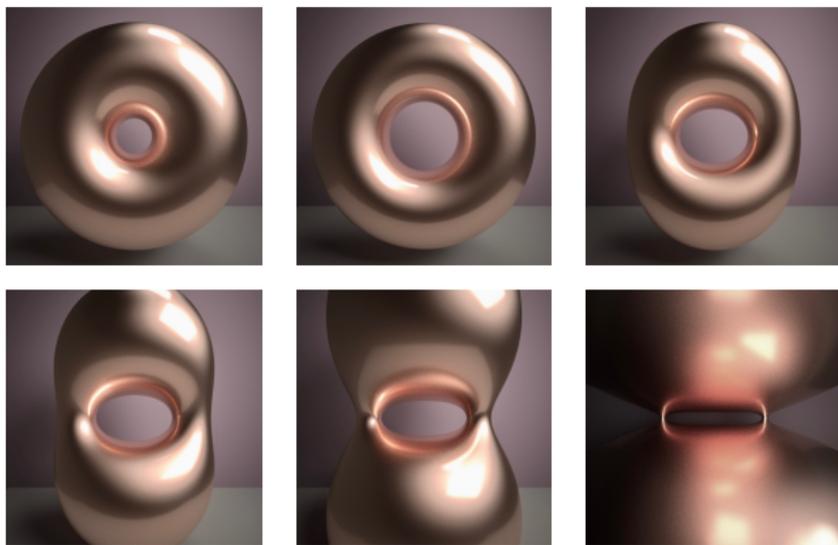


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Properties:

- ▶  $f^b$  are CMC in  $S^3 \rightsquigarrow$  degenerate in the moduli space CW tori
- ▶ Willmore energy is monotonic in  $b \geq 1 \rightsquigarrow$  CW minimizers exist for all rectangular classes.

# Strategy

► Let

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  - ▶  $f^{b_0}$  is the unique minimizer for immersions with conformal class  $(a, b_0)$
  - ▶  $f^{b_0}$  is  $\mathcal{W}$ -stable and the kernel is at most 1-dimensional up to invariance

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- ▶ Show candidates are unique CW minimizers among isothermic tori.  $\rightsquigarrow$  Restore the uniqueness of the constrained Willmore minimizer at  $b_0$ .

# Ramifications

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- ▶ Alternate proof of the Willmore conjecture in 3-space. Every  $f^b$  minimize the Willmore energy for all conformal classes  $(a, b)$  and the Clifford torus minimize the Willmore energy within  $f^b$ .
- ▶ Possible generalization to higher codimensions. (In particular codimension 2)

# The Willmore energy of degenerating surfaces and singularities of geometric flows

Elena Mäder-Baumdicker

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Institute for Analysis  
Englerstr. 2  
76131 Karlsruhe

November 10, 2017

# Beautiful non-orientable surfaces with higher codimension

Let  $\Sigma$  be a closed manifold of dimension two and  $f : \Sigma \rightarrow \mathbb{R}^n$  an immersion.

- The Willmore functional  $\mathcal{W}(f) := \int_{\Sigma} |H|^2 d\mu$  is conformally invariant.  
     $\rightsquigarrow$  particularly interesting from the Calculus of Variations viewpoint
- Minimizers of  $\mathcal{W}$  with fixed genus have nice properties.
- What about minimizers with fixed non-orientable genus?

# Beautiful non-orientable surfaces with higher codimension

## *Objectives:*

- Prove a lower bound on  $\mathcal{W}$  of a closed non-orientable surface at the boundary of moduli space.
- Prove existence of the minimizer of  $\mathcal{W}$  with fixed non-orientable genus in higher codimension.
- Study the properties of the minimizers and possible candidates.

Methods: Geometric Analysis, Teichmüller theory, Minimal Surface Theory, Spectral Theory

# Singularities of geometric flows with free boundaries

- Consider the *area preserving curve shortening flow* in  $\mathbb{R}^2$ :

$$\partial_t \gamma = (\kappa - \bar{\kappa}) \nu, \quad \text{where } \bar{\kappa}(t) = \frac{\int \kappa ds}{L(\gamma)}$$

- Impose *Neumann free boundary conditions*.
- What happens when you let the curve flow?
- How is that different compared to the situation without boundary?

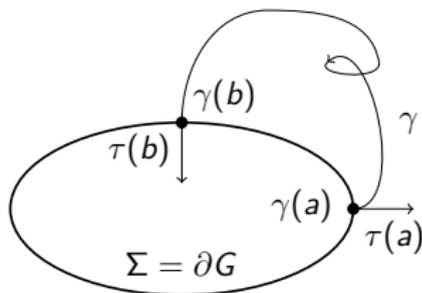


Figure: Geometric situation

# Singularities of geometric flows with free boundaries

## Objectives:

- Find a criterion on the initial curve that guarantees the appearance of a singularity in finite time.

[preprint on ArXiv]

- There seem to be differences compared to the closed case.

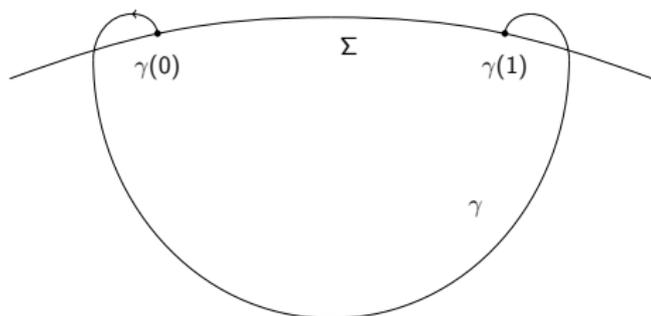


Figure: An initial curve that develops a singularity in finite time.

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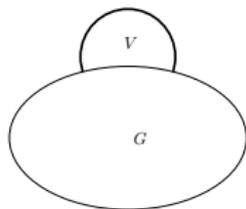
*Objectives:*

- Based on previous work: There are cases where no singularity develops, the curves *subconverge* to an arc of a circle.

$\rightsquigarrow$  How is this related to the (*outer*) *relative Isoperimetric problem*? This problem consists in finding a set attaining the following infimum:

$$\inf \{ \mathcal{H}^1(\partial V \setminus \partial G) : V \subset \mathbb{R}^2 \setminus G \text{ and } |V| = \delta > 0 \}$$

Methods: Partial Differential Equations, Blowup Analysis, Geometric Analysis



**Figure:** The relative Isoperimetric problem (outer case)

# SPP Project by J. Hirsch

Jonas Hirsch

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Scuola Internazionale Superiore di Studi Avanzati  
via Bonomea, 265, 34136 Trieste, ITALY

November 10, 2017

## Existence, regularity and uniqueness results of geometric variational problems

## **Existence, regularity and uniqueness results of geometric variational problems**

*Motivation:* Investigate the regularity and fine structures of solutions to geometric variational problems.

*Methods:* geometric measure theory, geometric analysis, partial differential equations and differential geometry

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*Principle aim:* Prove the existence of a minimiser.
- 4 Uniqueness of conical minimisers  
*Principle aim:* Provide an example of a minimising harmonic map with a continuum of tangent maps.